Prediction-based trajectory tracking of External Gas Recirculation for turbocharged SI Engines

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Abstract—This paper addresses the trajectory tracking problem of intake burned gas rate for Spark Ignited engines. We propose a simple linear time-varying input delay model of this dynamics, where the delay is represented by an implicit integral equation involving the past values of the input. We extend some recent results from the literature to design a novel predictor-based controller and compare the merits of the proposed technique with the ones of previous works from the literature. Simulation results stress the relevance of this preliminary work and directions of future work are provided.

I. INTRODUCTION

Lately, downsizing (reduction of the engine size) has appeared as a major way for SI engines to achieve the still more stringent environmental requirements. Such downsized engine can reach high levels of performance and driveability, provided they are equipped with direct injection, turbocharger and Variable Valve Timing (VVT) actuators [21]. Such a setup is represented in Fig. 1.

On such engines, one major control issue is the prevention of the knock phenomenon, an unwanted self-ignition of the mixture which could appear at hight load (see [7]). One of the considered strategies to use exhaust gas recirculation (EGR) through a low-pressure circuit, represented in Fig. 1: burned gases are picked up downstream of the catalyst and mixed with fresh air upstream of the compressor. The amount of reintroduced burned gases is controlled by the EGR Valve.

The addition of exhaust gas into the mixture leads to an increase of the auto-ignition delay: intermixing the incoming air with recirculated exhaust gas dilutes the mixture with inert gas, increases its specific heat capacity and consequently lowers the peak combustion temperature. Then, the effect of EGR is a prevention of knock effect which leads to potential substantial improvements of combustion efficiency [?].

In the same time, during tip-outs, the presence of burned gases in the intake manifold seriously impacts the combustion process and the engine may stall. For this reason, an accurate advanced combustion control, based on the estimation and the control of the intake burned gas rate, is unquestionably necessary.

Nevertheless, the relative long distance between the compressor and the inlet manifold leads to a significant transport delay, up to several seconds. Further, this delay depends on the gas flow rate and then on the manipulated actuator, which considerably increases the complexity of the control task. This may explain why this SI engine control problem has surprisingly barely been studied in the literature 1.

In this paper, we propose to design a prediction-based control law, accounting for this time-varying delay and its dependency on the history of the input.

The robust stability of systems with time-varying delay in the input have been widely studied lately: using either a Lyapunov-Razumikhin function or a Lyapunov-Krasovskii functional, delay-dependent stability criteria are obtained under the form of Linear Matrix Inequalities (LMIs) [9], [10], [14], [22]. The “memoryless” controllers employed in such approaches are relatively easy to implement. Yet, to improve closed-loop dynamic performance, one could prefer to use a predictor-based control law [2], [23], [28] aiming at compensating the delay via a distributed delay of infinite dimension. Such techniques, which are bitterly used for a constant input time-delay (see for instance [13], [16], [24], [25] or [8], [27] and the reference therein) are less popular for time-varying ones. Recently, some were developed in such a framework in [30], where substantial LMIs have to be checked, and in [17], where the invertibility of a certain delay-operator is assumed.

Here, we follow the overture proposed in [15] and [17] to analyze the stability of linear input time-delay systems. The new techniques proposed there have been developed in [3], [4], [5], [18] to address uncertainties toward constant input time-delays in various automotive contexts. Here, we extend these tools to linear systems with time-varying delay in the input, similarly to what was done in [17], and explicitly relate the obtained result with the regulation of the intake burned gas rate for a turbocharged SI engine. These are the main contributions of the paper.

The paper is organized as follows. In Section II, we present a model of the intake burned gas rate dynamics, expressing the considered system as a linear input-delay systems with an input-dependent delay. Then, in Section III, we design a prediction-based control law for such systems and develop the corresponding convergence proof. Finally, numerical results and directions of future work are discussed in Section IV.

1On the contrary, numerous works focus on this problem for Diesel engine. However, as context and challenges are substantially different, it results into a different control framework (see [1], [29], [31] and the reference therein for more details).
II. MODEL DEFINITION

Consider the airpath of a turbocharged SI engine equipped with intake throttle, waste gate, dual independent VVT actuators and a low-pressure external gas recirculation (EGR) loop as depicted in Fig. 1. Notations presented in Fig. 1 and used below are summarized in Table II.

We focus on the control of the amount of externally recirculated burned gas into the intake manifold. Such a control is realized thanks to the EGR flow rate through the EGR valve, \( D_{egr} \). As this actuator is spatially distant from the intake manifold, it introduces a time-varying transport delay. Assuming that the mixing into the volume downstream the intake manifold is instantaneous (i.e. neglecting the dilution dynamics in this volume), the burned gas rate at this point can be expressed as

\[
u(t) = \frac{D_{egr}(t)}{D_{air}(t) + D_{egr}(t)} \tag{1}
\]

where \( D_{air} \) is measured and \( D_{egr} \) is directly related to the measured differential of pressure \( \Delta P \) (this relation is described in Appendix). Further, we neglect the mixing during the flow transport, namely, following the plug-flow assumption,

\[
L_p = \int_{t-\tau(t)}^{t} v_{gas}(s) ds \tag{2}
\]

where \( L_p \) represents the pipe length from the EGR-valve down to the intake manifold and \( v_{gas} \) account for the gas speeds. Finally, the mixing dynamics into the intake manifold can be expressed with the compressed balance equation \(^2\)

\[
\dot{x}(t) = \alpha [-D_{asp} x(t) + D_{thr} u(t - \tau(t))] \tag{3}
\]

where \( x \) represents the externally recirculated burned gas rate into the intake manifold and \( \alpha = \frac{r_{int}}{P_{\text{fuel}}} \) is known, as both \( P_{\text{int}} \) and \( T_{\text{int}} \) are measured by a sensor located in the intake manifold.

A. Flow rate modeling

To estimate the flow rate quantities given in (3), the model of aspirated air mass presented in [20] is used. In this model, \( D_{asp} \) is described as a function of the engine speed \( N_e \), the manifold pressure \( P_{\text{int}} \) and the intake and exhaust VVT actuators positions. Using the ideal gas law, this flow rate is dynamically related to the flow rate through the throttle as

\[
D_{thr} = D_{asp}(N_e, P_{\text{int}}, VVT) + \frac{V_{\text{int}}}{r_{int}} \frac{P_{\text{int}}}{T_{\text{int}}} \tag{4}
\]

where \( r = r_{\text{air}} = r_{bg} \) is the common ideal gas constant.

B. Transport delay characterization

Equation (2) implicitly determines the transport delay according to the gas speed along the intake line, which is not measured in practice. Nevertheless, one can again exploit the ideal gas equation to relate this speed to current thermodynamical conditions and mass flow rates. In other words, this transport delay equation can be reformulated as

\[
V_p = \int_{t-\tau(t)}^{t} \frac{r}{P(s)}(D_{air}(s) + D_{egr}(s)) ds
\]

where \( V_p \) is the total pipe volume and \( T, P \) the current temperature and pressure values.

Observing the engine scheme depicted in Fig. 1, one can divide the intake line into three main sections with three respective transport delays:

- downstream the EGR valve to the compressor. In this portion, atmospheric conditions are used

\[
V_3 = \int_{t-\tau_3(t)}^{t} \frac{r_{\text{atm}}}{P_{\text{atm}}}(D_{air}(s) + D_{egr}(s)) ds \tag{5}
\]

- downstream the compressor to the intercooler. The current pressure and temperature are measured

\[
V_2 = \int_{t-\tau_2(t)}^{t} \frac{r_{dc}}{P_{dc}}(D_{air}(s) + D_{egr}(s)) ds \tag{6}
\]
downstream the intercooler to the intake manifold. Classically, the temperature in this portion is assumed to be equal to the intake manifold temperature and the pressure to be the same as the one downstream the compressor. Namely,

\[ V_i = \int_{t_1-\tau(t)}^{t-\tau(t)} \frac{rT_{\text{int}}}{P_{\text{dc}}} [D_{\text{air}}(s) + D_{\text{egr}}(s)] \, ds \quad (7) \]

In practice, the intermediate volumes \( V_1, V_2 \) and \( V_3 \) are known. Then, one can calculate the delay in a very straightforward manner, inverting one after the other (5), (6) and finally (7), to obtain \( \tau(t) = \tau_1(t) + \tau_2(t) + \tau_3(t) \), like it is done in [26] in a process context.

This delay calculation methodology has been validated on a reference high frequency simulator developed on a AMESimM platform (commonly used for control engine purposes [12]) and presented in [19]. Fig 2 pictures a particular example of delay variation, corresponding to a tip-in occurring at constant engine speed (1200 rpm). For a low torque request (before 2.25 s), the air mass flow rate is also quite low, which results into a significant transport delay value (around 1.35 s). During tip-in, the air mass flow substantially increases, which results into a much lower delay value (around 0.3 s). Fig 2 shows the accuracy of the proposed delay modeling.

The control objective is to have system (3) to track a smooth trajectory \( x'(t) \). To do so, we aim at developing a prediction-based control law taking advantage of the knowledge of the implicit delay variation law (5)-(7). With this aim in view, we assume in the following that the intake burned gas rate is measured, which is not the case in a commercial engine. This point is briefly discussed in Section IV. We now detail the design of a tailored prediction-based control law.

### III. Control Design for Linear Time-Varying Input-Delay Systems

In this section, we focus on the design of a prediction-based control law for linear time-varying input-delay systems. Therefore, we consider in this section a linear (potentially unstable) plant

\[ X(t) = AX(t) + BU(t - \tau(t)) \quad (8) \]

where \( X \in \mathbb{R}^n \) and \( U \) is scalar. We assume that the pair \((A, B)\) is controllable and \( \tau : \mathbb{R}_+ \rightarrow [\bar{\tau}, \bar{\tau}] \) is a bounded and time-differentiable function.

We also consider a time-varying reference \((X'(t), U'(t))\) for the corresponding delay-free system, i.e. such that

\[ \dot{X}'(t) = AX'(t) + BU'(t) \]

and introduce the tracking error variables \( \tilde{X}(t) = X(t) - X'(t) \) and \( \tilde{U}(t) = U(t) - U'(t + \tau(t)) \).

**Theorem 1:** Consider the closed-loop system consisting in the plant (8) and the control law

\[
U(t) = U'(t + \tau(t)) + K \left[ e^{A\tau(t)} \tilde{X}(t) + \int_{\tau(t)}^{t} e^{A(t-s)}B[U(s) - U'(s + \tau(t)) - \tau(t)] \, ds \right] \quad (9)
\]

where the vector \( K \) is chosen such that \( A + BK \) is Hurwitz. There exists \( \delta^* \in (0, 1] \) such that, provided

\[
\forall t \geq 0, \quad |\tilde{\tau}(t)| < \delta^* \quad (10)
\]

the state tracking error \( \tilde{X}(t) \) exponentially converges toward 0 when \( t \to \infty \).

Control law (9) is directly inspired by the constant delay case, calculating the state prediction over a time window of varying length \( D(t) \). Of course, exact compensation of the delay is not achieved with this controller. To do so, one would need to consider a time window of which length would match exactly the value of the future delay, as it is made in [17] \(^3\). In other words, this requires to be able to predict the

\[^3\text{In details, defining the delay operator } \phi(t) = t - D(t) \text{ and assuming that its inverse exists and is available, exact delay-compensation is obtained with the feedback law } U(t) = KX(\phi^{-1}(t)) \text{ where the prediction can be written as } X(\phi^{-1}(t)) = e^{A(t - \phi^{-1}(t))} + \int_{\phi^{-1}(t)}^{t} e^{A(t-s)}B[U(s) - U'(s + \phi^{-1}(t))] \, ds] \]
future variation of the delay, which is not always practically achievable and then implementable.

In this context, (10) can be interpreted as a condition for robust compensation achievement. Namely, if the delay varies sufficiently slowly, its current value \( D(t) \) used for prediction will be close enough to its future values, and the corresponding prediction will be accurate enough to ensure the stabilization of the plant.

Interestingly, the exact same condition is stated in [30], where the delay is also assumed to be time-differentiable. Yet, the approach designed in [30] differs from ours in the way that a constant average delay value is used for control \(^4\), which should naturally result into poorer performance than the proposed one.

Finally, an expression of the bound \( \delta^* \) is provided in the following proof. Of course, as it results from a Lyapunov analysis, this value is extremely conservative, as the above simulations emphasize it. Nevertheless, it leads to the conclusion, at least according to the Lyapunov proof, that the faster the dynamics of the system is, the smaller this bound will be \(^5\). We now detail this proof.

**Proof** In the following, we use the Lyapunov tools introduced in [15] to analyze the stability of input time-delay systems and which are based on a backstepping transformation of a certain actuator state defined for constant delays \(^6\).

First, to extend them to the time-varying delay case, we introduce the distributed input \( u(x,t) = U(t + D(t)(x - 1)) \), \( x \in [0,1] \), which enables to rewrite plant (8) as

\[
\begin{align*}
\dot{X}(x) &= AX(x) + Bu(x,t) \\
D(t)u_x(x,t) &= u_x(x,t) + D(t)(x - 1)u_x(x,t) \\
u(1,t) &= U(t)
\end{align*}
\]

In details, the input delay is now represented as a coupling with a transport partial differential equation (PDE) driven by the input and where the convection speed varies both with space and time.

Further, we introduce an alternative control reference \( V'(t) = U'(t + \tau(t)) \) and define the corresponding distributed reference \( \nu'(x,t) = V'(t + \tau(t)(x - 1)) \). Following the mentioned approach, we now consider the following backstepping transformation, based on the control tracking errors \( e(x,t) = u(x,t) - \nu'(x,t) \),

\[
w(x,t) = e(x,t) - \tau(t)K \int_0^x e^{A\tau(t)x}Be(y,t)dy - Ke^{A\tau(t)x}\tilde{X}(t)
\]

This transformation enables to rewrite the error plant corresponding to (8)-(9) as

\[
\begin{align*}
\dot{X}(x) &= (A + BK)X(t) + BW(0,t) \\
\tau(t)w_x(x,t) &= w_x(x,t) - \tau(t)\dot{\tau}(t)f(x,t) \\
w(1,t) &= 0
\end{align*}
\]

where the function \( f \) can be expressed as

\[
f(x,t) = \frac{1 - x}{\tau(t)}e_x(x,t) + KAx e^{A\tau(t)x}\tilde{X}(t)
\]

\[
+ K \int_0^x e^{A\tau(t)(x-y)}Be_y(y,t)dy + K \int_0^x (I - A\tau(t)(x-y))e^{A\tau(t)(x-y)}Be_y(y,t)dy
\]

In the Lyapunov analysis, we also need the governing dynamical equation of the spatial derivative of the transformed distributed input

\[
\begin{align*}
\tau(t)w_u(x,t) &= w_{ux}(x,t) - \tau(t)\dot{\tau}(t)f_x(x,t) \\
w(1,t) &= \tau(t)\dot{\tau}(t)f(1,t)
\end{align*}
\]

We now introduce the following Lyapunov-Krasovskii functional

\[
V(t) = \tilde{X}(t)^TP\tilde{X}(t) + b_1\tau(t)\int_0^1 (1 + x)w(x,t)^2dx + b_2\tau(t)\int_0^1 (1 + x)w_x(x,t)^2dx
\]

where the positive symmetric matrix \( P \) satisfies the Lyapunov equation \( P(A+BK) + (A+BK)^TP = -Q \), where \( Q \) is a definite positive symmetric matrix. Taking a time-derivative of the \( V \), one can obtain with some integrations by parts

\[
\begin{align*}
\dot{V}(t) &= -\tilde{X}(t)^TP\tilde{X}(t) + 2\tilde{X}(t)^TPBw(0,t) - b_1\|w(t)\|_2^2 \\
&\quad - b_1w(0,t)^2 - b_2\|w_x(t)\|_2^2 + 2b_2w_x(0,t)^2 - b_2w_x(t)^2 \\
&\quad - 2b_1\tau(t)\dot{\tau}(t)\int_0^1 (1 + x)w(x,t)f(x,t)dx \\
&\quad - 2b_2\tau(t)\dot{\tau}(t)\int_0^1 (1 + x)w(x,t)f_x(x,t)dx \\
&\quad + \tau(t)\left[ b_1\int_0^1 (1 + x)w(x,t)^2dx + b_2\int_0^1 (1 + x)w_x(x,t)^2dx \right] \\
&\quad \leq -\frac{\lambda_{\min}(Q)}{2}\|\tilde{X}(t)\|^2 - \left( b_1 - \frac{2\|PB\|_2^2}{\lambda_{\min}(Q)} \right)\|w(0,t)\|^2 \\
&\quad - b_1\|w(t)\|^2 - b_2\|w_x(t)\|^2 - 2b_2w_x(0,t)^2 + 2b_2w_x(t)^2 \\
&\quad + 2b_1\tau(t)\|\dot{\tau}(t)\|\int_0^1 (1 + x)w(x,t)f(x,t)dx \\
&\quad + 2b_2\tau(t)\|\dot{\tau}(t)\|\int_0^1 (1 + x)w_x(x,t)f_x(x,t)dx \\
&\quad + 2\|\dot{\tau}(t)\|\left( b_1\|w(t)\|^2 + b_2\|w_x(t)\|^2 \right)
\end{align*}
\]

Considering the inverse transformation of (11) which satisfies the following Volterra integral equation of the second kind

\[
e(x,t) = w(x,t) + Ke^{A\tau(t)x}\tilde{X}(t) + \tau(t)K \int_0^x e^{A\tau(t)(x-y)}Bw(y,t)dy
\]

\[
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\]
one can obtain, using Cauchy-Schwartz and Young’s inequality, the existence of positive constants $M_1, M_2$ and $M_3$ such that
\[2w_x(1,t)^2 \leq M_1|\dot{x}(t)|^2 + \|w(t)||^2 + \|w_x(t)||^2\]
\[2\tau(t) \left| \int_0^1 (1+x)w(x,t)f(x,t)dx \right| \leq M_2 \left( |\dot{x}(t)|^2 + \|w(t)||^2 + \|w_x(t)||^2 \right)^{\frac{1}{2}}\]
\[2\tau(t) \left| \int_0^1 (1+x)w_x(x,t)f_x(x,t)dx \right| \leq M_3 \left( |\dot{x}(t)|^2 + \|w(t)||^2 + \|w_x(t)||^2 + w_x(0,t)^2 \right)^{\frac{1}{2}}\]

Using these inequalities and defining $V_0(t) = |\dot{x}(t)|^2 + \|w(t)||^2 + \|w_x(t)||^2$, one can get
\[V(t) \leq -\frac{\lambda_{\min}(Q)}{2} |\dot{x}(t)|^2 - \left( b_1 - \frac{2|PB|^2}{\lambda_{\min}(Q)} \right)w(0,t)^2 - b_1 \|w(t)||^2 - b_2 \|w_x(t)||^2 - b_2 (1 - M_3|\dot{\tau}(t)||w_x(0,t)^2 + |\tau(t)||b_2M_1|\dot{\tau}(t)| + b_1M_2 + b_3M_3 + 2b_1 + 2b_2) V_0(t)\]

Consequently, by choosing $b_1 > 2|PB|^2/\lambda_{\min}(Q)$ and defining
\[\delta^* = \min \left\{ \frac{\lambda_{\min}(Q)/2, b_1, b_2} {b_2M_1 + b_1M_2 + b_3M_3 + 2b_1 + 2b_2}, 1, \frac{1}{M_3} \right\}\]
we obtain the existence of a constant $\mu > 0$ such that, for $|\dot{\tau}(t)| < \delta^*$,
\[\forall t \geq 0, \quad \dot{V}(t) \leq -\mu V_0(t)\]

Finally, observing that both
\[\min \left\{ \lambda_{\min}(P), b_1, b_2 \right\} V_0(t) = \eta_1 V_0(t) \leq V(t)\]
\[V(t) \leq \min \left\{ \lambda_{\max}(P), 2b_1|\dot{\tau}|, 2b_2|\dot{\tau}| \right\} V_0(t) = \eta_2 V_0(t)\]

one can deduce that
\[\forall t \geq 0, \quad V_0(t) \leq \eta_2 \eta_1 V_0(0) e^{-\frac{\mu t}{\eta_1}}\]

This concludes the proof.

IV. APPLICATION TO INTAKE BURNED GAS RATE REGULATION AND SIMULATION RESULTS

A direct application of Theorem 1 to the considered dynamics (3) yields the following choice for the controller
\[D_{egr}(t) = D_{air}(t) \frac{u(t)}{1 - u(t)}\]
\[u(t) = u'(t + \tau(t)) - k \left[ e^{-\alpha D_{air}(t)\tau(t)} |x(t) - x'(t)| \right] + \alpha D_{air}(t)^{-1} \left[ e^{-|\tau|\delta(t)} \right] [u(t) - u'(s + \tau(t))] ds\]

(18)

In practice, this control law is saturated as it is realized with the EGR-valve, which is a limited actuator. The controller architecture is summarized in Fig. 3.

Fig. 4 presents simulation results corresponding to a given operating point (constant engine speed $N_e = 1200$ rpm and torque request $T_q = 120$ Nm), for the considered reference intake burned gas rate depicted in the left-hand plot. The feedback gain has been chosen as $k = 0.1$.

One can observe that, starting without external gas recirculation, i.e. with $x(0) = 0$, the convergence is predictably achieved. The effect of the feedback term into (18) can be noticed at the beginning of the simulation, as the EGR mass flow rate overshoots aiming at decreasing the time response of the system. After that, the regulation is mainly achieved with the feedforward term.

Further, a few comments can be made about the achievement of the condition (10). A direct computation of the bound $\delta^*$ for the considered case leads to a scale of $10^{-2}$, which is extremely conservative and cannot be used in practice. Nevertheless, in Fig. 4, one can observe that the delay varies quite slowly, which is compliant with the spirit of this condition. More in details, taking a time-derivative of the implicit equation (2), the following expression of the delay derivative is obtained
\[\dot{\tau}(t) = 1 - \frac{D_{air}(t) + D_{egr}(t)}{D_{air}(t - \tau) + D_{egr}(t - \tau)}\]

(19)

Then, considering the mass flowrates pictured in Fig. 3, a first-order approximation leads to $\dot{\tau}(t) \approx 1 - \frac{D_{air}(t)}{D_{air}(t - \tau)} \approx 0$ for the considered case. For a given operating point, expression (19) also indicates that the achievable EGR trajectories should not include at time $t$ frequencies above $1/\tau(t)$. These considerations yield to the conclusion that, for sufficiently slow variations of the air flow (which correspond to slow variations of the driver torque request), the proposed technique can be directly applied. However, the EGR trajectory generation seems arduous for substantial transient behavior, such as tip-ins. This is a direction of future work.

Finally, as the intake burned gas rate is usually unmeasured in commercial-line engines, upcoming works will also focus on directly measuring this variable.
on the design of an estimate to feed the control law \(^8\).

V. CONCLUSION AND PERSPECTIVES

In this paper, a novel prediction-based control law for linear systems with time-varying input delay has been designed and applied to the trajectory tracking of the intake burned gas rate for SI engines. Simulation validation of the proposed model has been carried out on the AMESim\textsuperscript{TM} platform and control results highlight the merits of the proposed approach.

The next step is to validate both the proposed model and controller on experimental test-benches. Based on the simulation results, one can reasonably expect combustion performance improvements.

\(^8\)One promising way could be to exploit the exhaust Air-Fuel Ratio measurement given by the lambda sensor (see Fig. 1) jointly with the history of the injected mass of fuel and an estimation of the exhaust transport delay, due to the location of the lambda sensor.

APPENDIX

A. Mass flow rate through the EGR Valve

The EGR mass flow rate can be assumed as sub-critical and modeled (see [11]) as

\[
D_{egr} = S_{valve} \psi(P_{uv})
\]  \hspace{1cm} (20)

\[
\psi(P_{uv}) = \frac{P_{av}}{\sqrt{RT_{egr}}} \left[ \frac{P_{atm}}{P_{av}} \right]^{\gamma - 1} \left[ 1 - \left( \frac{P_{atm}}{P_{av}} \right)^{\frac{\gamma - 1}{\gamma}} \right]^{-1}
\]  \hspace{1cm} (21)

where \(S_{thr}\) is the effective opening area of the throttle, \(P_{av}\) is the upstream valve pressure, measured obtained from the atmospheric pressure and the \(\Delta P\)-sensor measurements and \(\gamma\) is the ratio of specific heat. The effective area is itself statically related to the angular position of the actuator.

In practice, an alternative linearized model may be needed to account for the potential low values of the differential of pressure \(\Delta P\), which result into a pressure ratio \(P_{atm}/P_{av}\) close to the unity.

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