

# Modeling and Control of Quantum Systems

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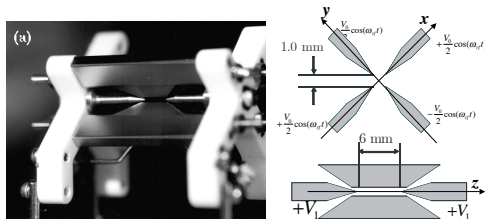
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<http://cas.ensmp.fr/~rouchon/QuantumSyst/index.html>

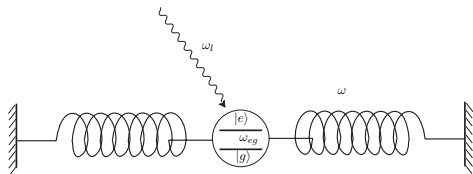
Lecture 3: October 25, 2010

- 1 Resonant control: Law-Eberly method
- 2 Adiabatic control
- 3 Controllability

# A single trapped ion



1D ion trap, picture borrowed from S. Haroche course at CDF.



A classical cartoon of spin-spring system.

# A single trapped ion

## A composite system:

internal degree of freedom + vibration inside the 1D trap

## Hilbert space:

$$\mathbb{C}^2 \otimes L^2(\mathbb{R}, \mathbb{C})$$

## Hamiltonian:

$$H = \omega \left( a^\dagger a + \frac{1}{2} \right) + \frac{\omega_{eg}}{2} \sigma_z + \left( \mathbf{u} e^{i(\omega_l t - \eta(a + a^\dagger))} + \mathbf{u}^* e^{-i(\omega_l t - \eta(a + a^\dagger))} \right) \sigma_x$$

## Parameters:

$\omega$ : harmonic oscillator of the trap,

$\omega_{eg}$ : optical transition of the internal state,

$\omega_l$ : lasers frequency,

$\eta = \omega_l/c$ : Lambe-Dicke parameter, ensures impulsion conservation.

## Scales:

$$|\omega_l - \omega_{eg}| \ll \omega_{eg}, \quad \omega \ll \omega_{eg}, \quad |\mathbf{u}| \ll \omega_{eg}, \quad \left| \frac{d}{dt} \mathbf{u} \right| \ll \omega_{eg} |\mathbf{u}|.$$

# Rotating wave approximation

**Rotating frame:**  $|\psi\rangle = e^{-\frac{i\omega_l t}{2}\sigma_z} |\phi\rangle$

$$\begin{aligned} H_{\text{int}} = & \omega \left( a^\dagger a + \frac{1}{2} \right) + \frac{\omega_{eg} - \omega_l}{2} \sigma_z \\ & + \left( \mathbf{u} e^{2i\omega_l t} e^{-i\eta(a+a^\dagger)} + \mathbf{u}^* e^{i\eta(a+a^\dagger)} \right) |e\rangle \langle g| \\ & + \left( \mathbf{u} e^{-i\eta(a+a^\dagger)} + \mathbf{u}^* e^{-2i\omega_l t} e^{i\eta(a+a^\dagger)} \right) |g\rangle \langle e| \end{aligned}$$

## First order approximation

neglecting terms  $e^{\pm 2i\omega_l t}$

$$H_{\text{rwa}}^{1\text{st}} = \omega \left( a^\dagger a + \frac{1}{2} \right) + \frac{\Delta}{2} \sigma_z + \mathbf{u} e^{-i\eta(a+a^\dagger)} |g\rangle \langle e| + \mathbf{u}^* e^{i\eta(a+a^\dagger)} |e\rangle \langle g|$$

where  $\Delta = \omega_{eg} - \omega_l$  is the atom-laser detuning.

The Schrödinger equation  $i\frac{d}{dt}|\psi\rangle = H_{\text{rwa}}^{1\text{st}}|\psi\rangle$  for  $|\psi\rangle = (\psi_g, \psi_e)^T$ :

$$i\frac{\partial\psi_g}{\partial t} = \frac{\omega}{2} \left( x^2 - \frac{\partial^2}{\partial x^2} \right) \psi_g - \frac{\Delta}{2} \psi_g + \mathbf{u} e^{-i\sqrt{2}\eta x} \psi_e$$

$$i\frac{\partial\psi_e}{\partial t} = \frac{\omega}{2} \left( x^2 - \frac{\partial^2}{\partial x^2} \right) \psi_e + \frac{\Delta}{2} \psi_e + \mathbf{u}^* e^{i\sqrt{2}\eta x} \psi_g.$$

Its approximate controllability on the unit sphere of  $(L^2)^2$  is proved by Ervedoza and Puel, applying the physicist's **Law-Eberly method**.

## Main idea

Control  $u$  is superposition of 3 mono-chromatic plane waves with:

- 1 pulsation  $\omega_{eg}$  (ion transition frequency) and amplitude  $\mathbf{u}$ ;
- 2 pulsation  $\omega_{eg} - \omega$  (red shift by a vibration quantum) and amplitude  $\mathbf{u}_r$ ;
- 3 pulsation  $\omega_{eg} + \omega$  (blue shift by a vibration quantum) and amplitude  $\mathbf{u}_b$ ;

## Control Hamiltonian:

$$\begin{aligned} H = & \omega \left( a^\dagger a + \frac{1}{2} \right) + \frac{\omega_{eg}}{2} \sigma_z + \left( \mathbf{u} e^{i(\omega_{eg} t - \eta(a + a^\dagger))} + \mathbf{u}^* e^{-i(\omega_{eg} t - \eta(a + a^\dagger))} \right) \sigma_x \\ & + \left( \mathbf{u}_b e^{i((\omega_{eg} + \omega)t - \eta_b(a + a^\dagger))} + \mathbf{u}_b^* e^{-i((\omega_{eg} + \omega)t - \eta_b(a + a^\dagger))} \right) \sigma_x \\ & + \left( \mathbf{u}_r e^{i((\omega_{eg} - \omega)t - \eta_r(a + a^\dagger))} + \mathbf{u}_r^* e^{-i((\omega_{eg} - \omega)t - \eta_r(a + a^\dagger))} \right) \sigma_x. \end{aligned}$$

## Lamb-Dicke parameters:

$$\eta = \omega_l / c \ll 1, \quad \eta_r = (\omega_l - \omega) / c \ll 1, \quad \eta_b = (\omega_l + \omega) / c \ll 1.$$

# Law-Eberly method: rotating frame

**Rotating frame:**  $|\psi\rangle = e^{-i\omega t(a^\dagger a + \frac{1}{2})} e^{\frac{-i\omega_{eg}t}{2}\sigma_z} |\phi\rangle$

$$\begin{aligned} H_{\text{int}} = & e^{i\omega t(a^\dagger a)} \left( \mathbf{u}_e e^{i\omega_{eg}t} e^{-i\eta(a+a^\dagger)} + \mathbf{u}^* e^{-i\omega_{eg}t} e^{i\eta(a+a^\dagger)} \right) \\ & e^{-i\omega t(a^\dagger a)} (e^{i\omega_{eg}t} |e\rangle \langle g| + e^{-i\omega_{eg}t} |g\rangle \langle e|) \\ + & e^{i\omega t(a^\dagger a)} \left( \mathbf{u}_b e^{i(\omega_{eg}+\omega)t} e^{-i\eta_b(a+a^\dagger)} + \mathbf{u}_b^* e^{-i(\omega_{eg}+\omega)t} e^{i\eta_b(a+a^\dagger)} \right) \\ & e^{-i\omega t(a^\dagger a)} (e^{i\omega_{eg}t} |e\rangle \langle g| + e^{-i\omega_{eg}t} |g\rangle \langle e|) \\ + & e^{i\omega t(a^\dagger a)} \left( \mathbf{u}_r e^{i(\omega_{eg}-\omega)t} e^{-i\eta_r(a+a^\dagger)} + \mathbf{u}_r^* e^{-i(\omega_{eg}-\omega)t} e^{i\eta_r(a+a^\dagger)} \right) \\ & e^{-i\omega t(a^\dagger a)} (e^{i\omega_{eg}t} |e\rangle \langle g| + e^{-i\omega_{eg}t} |g\rangle \langle e|) \end{aligned}$$



- Approximation  $e^{i\epsilon(a+a^\dagger)} \approx 1 + i\epsilon(a + a^\dagger)$  for  $\epsilon = \pm\eta, \eta_b, \eta_r$ ;
- neglecting highly oscillating terms of frequencies  $2\omega_{eg}$ ,  $2\omega_{eg} \pm \omega$ ,  $2(\omega_{eg} \pm \omega)$  and  $\pm\omega$ , as

$$|\mathbf{u}|, |\mathbf{u}_b|, |\mathbf{u}_r| \ll \omega, \quad \left| \frac{d}{dt} \mathbf{u} \right| \ll \omega |\mathbf{u}|, \quad \left| \frac{d}{dt} \mathbf{u}_b \right| \ll \omega |\mathbf{u}_b|, \quad \left| \frac{d}{dt} \mathbf{u}_r \right| \ll \omega |\mathbf{u}_r|.$$

## First order approximation:

$$H_{\text{rwa}} = \mathbf{u} |g\rangle \langle e| + \mathbf{u}^* |e\rangle \langle g| + \bar{\mathbf{u}}_b a |g\rangle \langle e| + \bar{\mathbf{u}}_b^* a^\dagger |e\rangle \langle g| \\ + \bar{\mathbf{u}}_r a^\dagger |g\rangle \langle e| + \bar{\mathbf{u}}_r^* a |e\rangle \langle g|$$

where

$$\bar{\mathbf{u}}_b = -i\eta_b \mathbf{u}_b \quad \text{and} \quad \bar{\mathbf{u}}_r = -i\eta_r \mathbf{u}_r$$

$$i \frac{\partial \phi_g}{\partial t} = \left( \mathbf{u} + \frac{\bar{\mathbf{u}}_b}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right) + \frac{\bar{\mathbf{u}}_r}{\sqrt{2}} \left( x - \frac{\partial}{\partial x} \right) \right) \phi_e$$
$$i \frac{\partial \phi_e}{\partial t} = \left( \mathbf{u}^* + \frac{\bar{\mathbf{u}}_b^*}{\sqrt{2}} \left( x - \frac{\partial}{\partial x} \right) + \frac{\bar{\mathbf{u}}_r^*}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right) \right) \phi_g$$

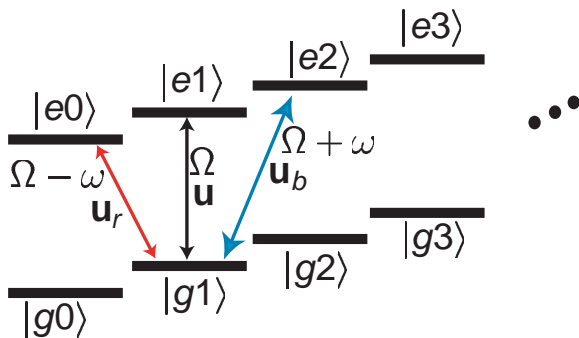
# Hilbert basis: $\{|g, n\rangle, |e, n\rangle\}_{n=0}^{\infty}$

## Dynamics:

$$i\frac{d}{dt}\phi_{g,n} = \mathbf{u}\phi_{e,n} + \bar{\mathbf{u}}_r\sqrt{n}\phi_{e,n-1} + \bar{\mathbf{u}}_b\sqrt{n+1}\phi_{e,n+1}$$

$$i\frac{d}{dt}\phi_{e,n} = \mathbf{u}^*\phi_{g,n} + \bar{\mathbf{u}}_r^*\sqrt{n+1}\phi_{g,n+1} + \bar{\mathbf{u}}_b^*\sqrt{n}\phi_{g,n-1}$$

## Physical interpretation:



## Truncation to $n$ -phonon space:

$$\mathcal{H}_n = \text{span} \{ |g, 0\rangle, |e, 0\rangle, \dots, |g, n\rangle, |e, n\rangle \}$$

We consider  $|\phi\rangle_0, |\phi\rangle_T \in \mathcal{H}_n$  and we look for  $\mathbf{u}$ ,  $\bar{\mathbf{u}}_b$  and  $\bar{\mathbf{u}}_r$ , s.t.

for  $|\phi\rangle(t=0) = |\phi\rangle_0$  we have  $|\phi\rangle(t=T) = |\phi\rangle_T$ .

- If  $\mathbf{u}^1$ ,  $\bar{\mathbf{u}}_b^1$  and  $\bar{\mathbf{u}}_r^1$  bring  $|\phi\rangle_0$  to  $|g, 0\rangle$  at time  $T/2$ ,
- and  $\mathbf{u}^2$ ,  $\bar{\mathbf{u}}_b^2$  and  $\bar{\mathbf{u}}_r^2$  bring  $|\phi\rangle_T$  to  $|g, 0\rangle$  at time  $T/2$ ,

then

$$\begin{aligned} \mathbf{u} &= \mathbf{u}^1, & \bar{\mathbf{u}}_b &= \bar{\mathbf{u}}_b^1, & \bar{\mathbf{u}}_r &= \bar{\mathbf{u}}_r^1 & \text{for } t \in [0, T/2], \\ \mathbf{u} &= -\mathbf{u}^2, & \bar{\mathbf{u}}_b &= -\bar{\mathbf{u}}_b^2, & \bar{\mathbf{u}}_r &= -\bar{\mathbf{u}}_r^2 & \text{for } t \in [T/2, T], \end{aligned}$$

bring  $|\phi\rangle_0$  to  $|\phi\rangle_T$  at time  $T$ .

Take  $|\phi_0\rangle \in \mathcal{H}_n$  and  $\bar{T} > 0$ :

- For  $t \in [0, \frac{\bar{T}}{2}]$ ,  $\bar{\mathbf{u}}_r(t) = \bar{\mathbf{u}}_b(t) = 0$ , and

$$\bar{\mathbf{u}}(t) = \frac{2i}{\bar{T}} \arctan \left| \frac{\phi_{e,n}(0)}{\phi_{g,n}(0)} \right| e^{i \arg(\phi_{g,n}(0) \phi_{e,n}^*(0))}$$

implies  $\phi_{e,n}(\bar{T}/2) = 0$ ;

- For  $t \in [\frac{\bar{T}}{2}, \bar{T}]$ ,  $\bar{\mathbf{u}}_b(t) = \bar{\mathbf{u}}(t) = 0$ , and

$$\bar{\mathbf{u}}_r(t) = \frac{2i}{\bar{T}\sqrt{n}} \arctan \left| \frac{\phi_{g,n}(\frac{\bar{T}}{2})}{\phi_{e,n-1}(\frac{\bar{T}}{2})} \right| e^{i \arg(\phi_{g,n}(\frac{\bar{T}}{2}) \phi_{e,n-1}^*(\frac{\bar{T}}{2}))}$$

implies that  $\phi_{e,n}(\bar{T}) \equiv 0$  and that  $\phi_{g,n}(\bar{T}) = 0$ .

**The two pulses  $\bar{\mathbf{u}}$  and  $\bar{\mathbf{u}}_r$  allow us to reach a  $|\phi\rangle (\bar{T}) \in \mathcal{H}_{n-1}$ .**

Repeating  $n$  times, we have

$$|\phi\rangle(n\bar{T}) \in \mathcal{H}_0 = \text{span}\{|g, 0\rangle, |e, 0\rangle\}.$$

- for  $t \in [n\bar{T}, (n + \frac{1}{2})\bar{T}]$ , the control

$$\bar{\mathbf{u}}_r(t) = \bar{\mathbf{u}}_b(t) = 0,$$

$$\bar{\mathbf{u}}(t) = \frac{2i}{\bar{T}} \arctan \left| \frac{\phi_{e,0}(n\bar{T})}{\phi_{g,0}(n\bar{T})} \right| e^{j \arg(\phi_{g,0}(n\bar{T})\phi_{e,0}^*(n\bar{T}))}$$

implies  $|\phi\rangle_{(n+\frac{1}{2})\bar{T}} = e^{i\theta} |g, 0\rangle.$

# Reminder: Jaynes-Cummings model and RWA

Hilbert space:  $\mathbb{C}^2 \otimes L^2(\mathbb{R}, \mathbb{C})$  Hamiltonian:

$$H_{JC} = \frac{\omega_{eg}}{2} \sigma_z + \omega_c \left( a^\dagger a + \frac{1}{2} \right) + u(a + a^\dagger) - i\frac{\Omega}{2} \sigma_x (a^\dagger - a)$$

with the scales

$$\Omega \ll \omega_c, \omega_{eg}, \quad |\omega_c - \omega_{eg}| \ll \omega_c, \omega_{eg}, \quad |u| \ll \omega_c, \omega_{eg}.$$

After RWA:

$$H_{\text{rwa}}^{1\text{st}} = \mathbf{u}a + \mathbf{u}^* a^\dagger - i\frac{\Omega}{2} (|g\rangle \langle e| a^\dagger - |e\rangle \langle g| a)$$

# Reminder: Control for Jaynes-Cummings model

We consider the Hilbert basis  $\{|g, n\rangle, |e, n\rangle\}$

$$i\frac{d}{dt}\phi_{g,0} = \tilde{\mathbf{u}}^* \phi_{e,0}$$

$$i\frac{d}{dt}\phi_{g,n+1} = -i\frac{\Omega}{2}\sqrt{n+1}\phi_{e,n} + \tilde{\mathbf{u}}^* \phi_{e,n+1},$$

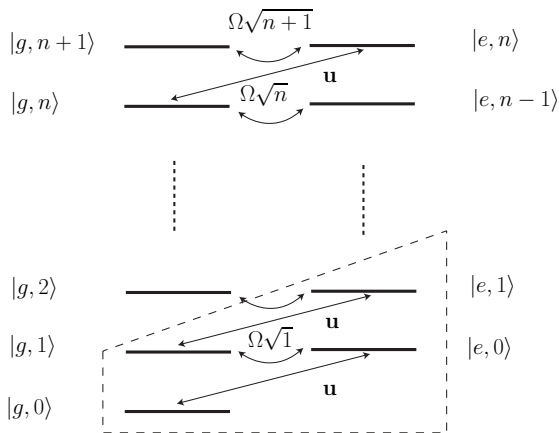
$$i\frac{d}{dt}\phi_{e,n} = i\frac{\Omega}{2}\sqrt{n+1}\phi_{g,n+1} + \tilde{\mathbf{u}}\phi_{g,n}.$$

**Is this system spectrally controllable?**

yes, in the real case.



# Control for Jaynes-Cummings model: schematic



Schematic of Jaynes-Cummings model

# Control for Jaynes-Cummings model: real case

We consider  $|\phi\rangle_0$  and  $|\phi\rangle_T$  in  $\mathcal{H}_n$  such that:

$$\langle g, k | \phi \rangle_0, \langle e, k | \phi \rangle_0 \in \mathbb{R} \quad \text{and} \quad \langle g, k | \phi \rangle_T, \langle e, k | \phi \rangle_T \in \mathbb{R},$$

and we consider pure imaginary controls:  $\tilde{\mathbf{u}} = i\mathbf{v}$ ,  $\mathbf{v} \in \mathbb{R}$ .

**Model in the real case:**

$$\begin{aligned} \frac{d}{dt}\phi_{g,0} &= -\mathbf{v}\phi_{e,0} \\ \frac{d}{dt}\phi_{g,n+1} &= -\frac{\Omega}{2}\sqrt{n+1}\phi_{e,n} - \mathbf{v}\phi_{e,n+1}, \\ \frac{d}{dt}\phi_{e,n} &= \frac{\Omega}{2}\sqrt{n+1}\phi_{g,n+1} + \mathbf{v}\phi_{g,n}. \end{aligned}$$

# Time-adiabatic approximation without gap conditions<sup>1</sup>


Take  $m + 1$  Hermitian matrices  $n \times n$ :  $H_0, \dots, H_m$ . For  $u \in \mathbb{R}^m$  set  $H(u) := H_0 + \sum_{k=1}^m u_k H_k$ . Assume that  $u$  is a **slowly varying time-function**:  $u = u(s)$  with  $s = \epsilon t \in [0, 1]$  and  $\epsilon$  a small positive parameter. Consider a solution  $[0, \frac{1}{\epsilon}] \ni t \mapsto |\psi\rangle_t^\epsilon$  of

$$i \frac{d}{dt} |\psi\rangle_t^\epsilon = H(u(\epsilon t)) |\psi\rangle_t^\epsilon.$$

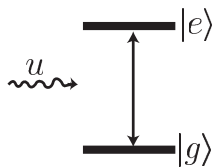
Take  $[0, s] \ni s \mapsto P(s)$  a **family of orthogonal projectors** such that for each  $s \in [0, 1]$ ,  $H(u(s))P(s) = E(s)P(s)$  where  $E(s)$  is an eigenvalue of  $H(u(s))$ . Assume that  $[0, s] \ni s \mapsto H(u(s))$  is  $C^2$ ,  $[0, s] \ni s \mapsto P(s)$  is  $C^2$  and that, **for almost all**  $s \in [0, 1]$ ,  $P(s)$  is the **orthogonal projector on the eigen-space** associated to the eigen-value  $E(s)$ . Then

$$\lim_{\epsilon \rightarrow 0^+} \left( \sup_{t \in [0, \frac{1}{\epsilon}]} \left| \|P(\epsilon t) |\psi\rangle_t^\epsilon\|^2 - \|P(0) |\psi\rangle_0^\epsilon\|^2 \right| \right) = 0.$$

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<sup>1</sup>Theorem 6.2, page 175 of *Adiabatic Perturbation Theory in Quantum Dynamics*, by S. Teufel, Lecture notes in Mathematics, Springer, 2003. 

# Chirped control of a 2-level system (1)



$$i \frac{d}{dt} |\psi\rangle = \left( \frac{\omega_{eg}}{2} \sigma_z + \frac{u}{2} \sigma_x \right) |\psi\rangle \quad \text{with quasi-resonant control } (|\omega_r - \omega_{eg}| \ll \omega_{eg})$$

$$u(t) = v \left( e^{i(\omega_r t + \theta)} + e^{-i(\omega_r t + \theta)} \right)$$

where  $v, \theta \in \mathbb{R}$ ,  $|v|$  and  $\left| \frac{d\theta}{dt} \right|$  are small and slowly varying:

$$|v|, \left| \frac{d\theta}{dt} \right| \ll \omega_{eg}, \quad \left| \frac{dv}{dt} \right| \ll \omega_{eg} |v|, \quad \left| \frac{d^2\theta}{dt^2} \right| \ll \omega_{eg} \left| \frac{d\theta}{dt} \right|.$$

Passage to the interaction frame  $|\psi\rangle = e^{-i \frac{\omega_r t + \theta}{2} \sigma_z} |\phi\rangle$ :

$$i \frac{d}{dt} |\phi\rangle = \left( \frac{\omega_{eg} - \omega_r - \frac{d}{dt} \theta}{2} \sigma_z + \frac{v e^{2i(\omega_r t + \theta)} + v}{2} \sigma_+ + \frac{v e^{-2i(\omega_r t - \theta)} + v}{2} \sigma_- \right) |\phi\rangle.$$

Set  $\Delta_r = \omega_{eg} - \omega_r$  and  $w = -\frac{d}{dt} \theta$ , RWA yields following averaged Hamiltonian

$$H_{\text{chirp}} = \frac{\Delta_r + w}{2} \sigma_z + \frac{v}{2} \sigma_x$$

where  $(v, w)$  are two real control inputs.

## Chirped control of a 2-level system (2)

In  $H_{\text{chirp}} = \frac{\Delta_r + w}{2} \sigma_z + \frac{v}{2} \sigma_x$  set, for  $s = \epsilon t$  varying in  $[0, \pi]$ ,  $w = a \cos(\epsilon t)$  and  $v = b \sin^2(\epsilon t)$ . **Spectral decomposition** of  $H_{\text{chirp}}$  for  $s \in ]0, \pi[$ :

$$\Omega_- = -\frac{\sqrt{(\Delta_r + w)^2 + v^2}}{2} \quad \text{with } |-\rangle = \frac{\cos \alpha |g\rangle - (1 - \sin \alpha) |e\rangle}{\sqrt{2(1 - \sin \alpha)}}$$

$$\Omega_+ = \frac{\sqrt{(\Delta_r + w)^2 + v^2}}{2} \quad \text{with } |+\rangle = \frac{(1 - \sin \alpha) |g\rangle + \cos \alpha |e\rangle}{\sqrt{2(1 - \sin \alpha)}}$$

where  $\alpha \in ]-\frac{\pi}{2}, \frac{\pi}{2}[$  is defined by  $\tan \alpha = \frac{\Delta_r + w}{v}$ . With  $a > |\Delta_r|$  and  $b > 0$

$$\lim_{s \rightarrow 0^+} \alpha = \frac{\pi}{2} \quad \text{implies} \quad \lim_{s \rightarrow 0^+} |-\rangle_s = |g\rangle, \quad \lim_{s \rightarrow 0^+} |+\rangle_s = |e\rangle$$

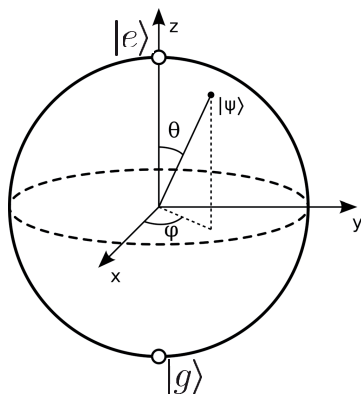
$$\lim_{s \rightarrow \pi^-} \alpha = -\frac{\pi}{2} \quad \text{implies} \quad \lim_{s \rightarrow \pi^-} |-\rangle_s = -|e\rangle, \quad \lim_{s \rightarrow \pi^-} |+\rangle_s = |g\rangle.$$

Adiabatic approximation: the solution of  $i \frac{d}{dt} |\phi\rangle = H_{\text{chirp}}(\epsilon t) |\phi\rangle$  starting from  $|\phi\rangle_0 = |g\rangle$  reads

$$|\phi\rangle_t = e^{i\vartheta_t} |-\rangle_{s=\epsilon t}, \quad t \in [0, \frac{\pi}{\epsilon}], \quad \text{with } \vartheta_t \text{ time-varying global phase.}$$

At  $t = \frac{\pi}{\epsilon}$ ,  $|\psi\rangle$  coincides with  $|e\rangle$  up to a global phase: **robustness** versus  $\Delta_r$ ,  $a$  and  $b$  (**ensemble controllability**).

# Bloch sphere representation of a 2-level system



if  $|\psi\rangle$  obeys  $i\frac{d}{dt}|\psi\rangle = H|\psi\rangle$ , then projector  $\rho = |\psi\rangle\langle\psi|$  obeys:

$$\frac{d}{dt}\rho = -i[H, \rho].$$

For  $|\psi\rangle = \psi_g|g\rangle + \psi_e|e\rangle$ :

$$|\psi\rangle\langle\psi| = |\psi_g|^2|g\rangle\langle g| + \psi_g\psi_e^*|g\rangle\langle e| + \psi_g^*\psi_e|e\rangle\langle g| + |\psi_e|^2|e\rangle\langle e|.$$

Set  $x = 2\Re(\psi_g\psi_e^*)$ ,  $y = 2\Im(\psi_g\psi_e^*)$  and  $z = |\psi_e|^2 - |\psi_g|^2$  we get

$$\rho = \frac{\mathbf{1} + x\sigma_x + y\sigma_y + z\sigma_z}{2}.$$

The Bloch vector  $\vec{M} = x\vec{i} + y\vec{j} + z\vec{k}$  evolves on the unit sphere of  $\mathbb{R}^3$ :

$$i\frac{d}{dt}|\psi\rangle = \left(\frac{\omega_x}{2}\sigma_x + \frac{\omega_y}{2}\sigma_y + \frac{\omega_z}{2}\sigma_z\right)|\psi\rangle \quad \sim \quad \frac{d}{dt}\vec{M} = (\omega_x\vec{i} + \omega_y\vec{j} + \omega_z\vec{k}) \times \vec{M}$$

Bloch vector  $\vec{M}$  with Euler angles  $(\theta, \phi)$  corresponds to

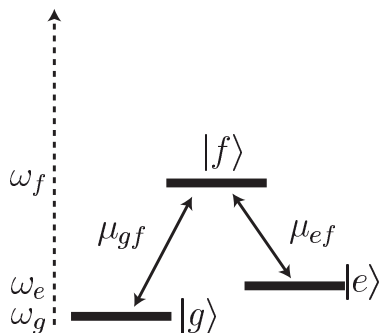
$$|\psi\rangle = e^{i\phi} \sin\left(\frac{\theta}{2}\right)|g\rangle + \cos\left(\frac{\theta}{2}\right)|e\rangle.$$

- The chirped dynamics  $i\frac{d}{dt}\phi = \left(\frac{\Delta_r+w}{2}\sigma_z + \frac{v}{2}\sigma_x\right) |\phi\rangle$  with  $w = a\cos(\epsilon t)$  and  $v = b\sin^2(\epsilon t)$  reads

$$\frac{d}{dt}\vec{M} = \underbrace{(b\sin^2(\epsilon t)\vec{v} + (\Delta_r + a\cos(\epsilon t))\vec{k})}_{=\vec{\Omega}_t} \times \vec{M}$$

- The initial condition  $|\phi\rangle_0 = |g\rangle$  means that  $\vec{M}_0 = -\vec{k}$  and  $\vec{\Omega}_0 = (\Delta_r + a)\vec{k}$  with  $\Delta_r + a > 0$ .
- Since  $\vec{\Omega}$  never vanishes for  $t \in [0, \frac{\pi}{\epsilon}]$ , adiabatic theorem implies that  $\vec{M}$  follows the direction of  $-\vec{\Omega}$ , i.e. that  $\vec{M} \approx -\frac{\vec{\Omega}}{\|\vec{\Omega}\|}$  (see matlab simulations `AdiabaticBloch.m`).
- At  $t = \frac{\pi}{\epsilon}$ ,  $\vec{\Omega} = (\Delta_r - a)\vec{k}$  with  $\Delta_r - a < 0$ :  $\vec{M}_{\frac{\pi}{\epsilon}} = \vec{k}$  and thus  $|\phi\rangle_{\frac{\pi}{\epsilon}} = e^{i\vartheta} |e\rangle$ .

# Stimulated Raman Adiabatic Passage (STIRAP) (1)



$$H = \omega_g |g\rangle \langle g| + \omega_e |e\rangle \langle e| + \omega_f |f\rangle \langle f| \\ + u\mu_{gf} (|g\rangle \langle f| + |f\rangle \langle g|) \\ + u\mu_{ef} (|e\rangle \langle f| + |f\rangle \langle e|).$$

Set  $\omega_{gf} = \omega_f - \omega_g$ ,  $\omega_{ef} = \omega_f - \omega_e$  and  $u = u_{gf} \cos(\omega_{gf}t) + u_{ef} \cos(\omega_{ef}t)$  with slowly varying small real amplitudes  $u_{gf}$  and  $u_{ef}$ .

Put  $i\frac{d}{dt}|\psi\rangle = H|\psi\rangle$  in the interaction frame:

$$|\psi\rangle = e^{-it(\omega_g|g\rangle\langle g| + \omega_e|e\rangle\langle e| + \omega_f|f\rangle\langle f|)}|\phi\rangle.$$

Rotation Wave Approximation yields  $i\frac{d}{dt}|\phi\rangle = H_{\text{rwa}}|\phi\rangle$  with

$$H_{\text{rwa}} = \frac{\Omega_{gf}}{2} (|g\rangle \langle f| + |f\rangle \langle g|) + \frac{\Omega_{ef}}{2} (|e\rangle \langle f| + |f\rangle \langle e|)$$

with slowly varying Rabi pulsations  $\Omega_{gf} = \mu_{gf}u_{gf}$  and  $\Omega_{ef} = \mu_{ef}u_{ef}$ .



## Stimulated Raman Adiabatic Passage (STIRAP) (2)

Spectral decomposition: as soon as  $\Omega_{gf}^2 + \Omega_{ef}^2 > 0$ ,

$\frac{\Omega_{gf}(|g\rangle\langle f| + |f\rangle\langle g|)}{2} + \frac{\Omega_{ef}(|e\rangle\langle f| + |f\rangle\langle e|)}{2}$  admits 3 distinct eigen-values,

$$\Omega_- = -\frac{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}{2}, \quad \Omega_0 = 0, \quad \Omega_+ = \frac{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}{2}.$$

They correspond to the following 3 eigen-vectors,

$$\begin{aligned} |-\rangle &= \frac{\Omega_{gf}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}} |g\rangle + \frac{\Omega_{ef}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}} |e\rangle - \frac{1}{\sqrt{2}} |f\rangle \\ |0\rangle &= \frac{-\Omega_{ef}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |g\rangle + \frac{\Omega_{gf}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |e\rangle \\ |+\rangle &= \frac{\Omega_{gf}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}} |g\rangle + \frac{\Omega_{ef}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}} |e\rangle + \frac{1}{\sqrt{2}} |f\rangle. \end{aligned}$$

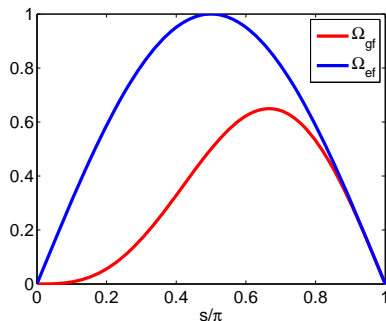
For  $\epsilon t = s \in [0, \frac{3\pi}{2}]$  and  $\bar{\Omega}_g, \bar{\Omega}_e > 0$ , the adiabatic control

$$\Omega_{gf}(s) = \begin{cases} \bar{\Omega}_g \cos^2 s, & \text{for } s \in [\frac{\pi}{2}, \frac{3\pi}{2}]; \\ 0, & \text{elsewhere.} \end{cases}, \quad \Omega_{ef}(s) = \begin{cases} \bar{\Omega}_e \sin^2 s, & \text{for } s \in [0, \pi]; \\ 0, & \text{elsewhere.} \end{cases}$$

provides the passage from  $|g\rangle$  at  $t = 0$  to  $|e\rangle$  at  $\epsilon t = \frac{3\pi}{2}$ .  
(see matlab simulations `stirap.m`).

## Exercise

Design an adiabatic passage  $s \mapsto (\Omega_{gf}(s), \Omega_{ef}(s))$  from  $|g\rangle$  to  $\frac{-|g\rangle+|e\rangle}{\sqrt{2}}$ , up to a global phase.



Take, e.g.,  $s = \epsilon t \in [0, \pi]$   
and  $\bar{\Omega} > 0$ , and set

$$\Omega_{gf}(s) = \frac{\bar{\Omega}}{2} \sin s - \frac{\bar{\Omega}}{4} \sin 2s$$

$$\Omega_{ef}(s) = \bar{\Omega} \sin s$$

Results from  $|0\rangle = \frac{-\Omega_{ef}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |g\rangle + \frac{\Omega_{gf}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |e\rangle$

## Schrödinger equation

$$i \frac{d}{dt} |\psi\rangle = \left( H_0 + \sum_{k=1}^m u_k H_k \right) |\psi\rangle$$

## State controllability

For any  $|\psi_a\rangle$  and  $|\psi_b\rangle$  on the unit sphere of  $\mathcal{H}$ , there exist a time  $T > 0$ , a global phase  $\theta \in [0, 2\pi[$  and a piecewise continuous control  $[0, T] \ni t \mapsto u(t)$  such that the solution with initial condition  $|\psi\rangle_0 = |\psi_a\rangle$  satisfies  $|\psi\rangle_T = e^{i\theta} |\psi_b\rangle$ .

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<sup>2</sup>See, e.g., *Introduction to Quantum Control and Dynamics* by D. D'Alessandro. Chapman & Hall/CRC, 2008.

# Controllability of bilinear Schrödinger equations

**Propagator equation:**

$$i \frac{d}{dt} U = \left( H_0 + \sum_{k=1}^m u_k H_k \right) U, \quad U(0) = \mathbf{1}$$

We have  $|\psi\rangle_t = U(t) |\psi\rangle_0$ .

## Operator controllability

For any unitary operator  $V$  on  $\mathcal{H}$ , there exist a time  $T > 0$ , a global phase  $\theta$  and a piecewise continuous control  $[0, T] \ni t \mapsto u(t)$  such that the solution of propagator equation satisfies  $U_T = e^{i\theta} V$ .

Operator controllability implies state controllability

# Lie-algebra rank condition

$$\frac{d}{dt} U = \left( A_0 + \sum_{k=1}^m u_k A_k \right) U$$

with  $A_k = H_k/i$  are skew-Hermitian. We define

$$\mathcal{L}_0 = \text{span}\{A_0, A_1, \dots, A_m\}$$

$$\mathcal{L}_1 = \text{span}(\mathcal{L}_0, [\mathcal{L}_0, \mathcal{L}_0])$$

$$\mathcal{L}_2 = \text{span}(\mathcal{L}_1, [\mathcal{L}_1, \mathcal{L}_1])$$

$\vdots$

$$\mathcal{L} = \mathcal{L}_\nu = \text{span}(\mathcal{L}_{\nu-1}, [\mathcal{L}_{\nu-1}, \mathcal{L}_{\nu-1}])$$

## Lie Algebra Rank Condition

**Operator controllable** if, and only if, the Lie algebra generated by the  $m + 1$  skew-Hermitian matrices  $\{-iH_0, -iH_1, \dots, -iH_m\}$  is either  $su(n)$  or  $u(n)$ .

## Exercise

Show that  $i \frac{d}{dt} |\psi\rangle = \left( \frac{\omega_{eg}}{2} \sigma_z + \frac{u}{2} \sigma_x \right) |\psi\rangle$ ,  $|\psi\rangle \in \mathbb{C}^2$  is controllable.

# A simple sufficient condition

We consider  $H = H_0 + uH_1$ ,  $(|j\rangle)_{j=1,\dots,n}$  the eigenbasis of  $H_0$ .

We assume  $H_0 |j\rangle = \omega_j |j\rangle$  where  $\omega_j \in \mathbb{R}$ , we consider a graph  $G$ :

$$V = \{|1\rangle, \dots, |n\rangle\}, \quad E = \{(|j_1\rangle, |j_2\rangle) \mid 1 \leq j_1 < j_2 \leq n, \langle j_1 | H_1 | j_2 \rangle \neq 0\}.$$

$G$  admits a degenerate transition if there exist  $(|j_1\rangle, |j_2\rangle) \in E$  and  $(|l_1\rangle, |l_2\rangle) \in E$ , admitting the same transition frequencies,

$$|\omega_{j_1} - \omega_{j_2}| = |\omega_{l_1} - \omega_{l_2}|.$$

## A sufficient controllability condition

Remove from  $E$ , all the edges with identical transition frequencies.

Denote by  $\bar{E} \subset E$  the reduced set of edges without degenerate transitions and by  $\bar{G} = (V, \bar{E})$ . If  $\bar{G}$  is connected, then the system is operator controllable.