# Quantum Systems: Dynamics and Control<sup>1</sup>

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<sup>1</sup>See the web page:

http://cas.ensmp.fr/~rouchon/MasterUPMC/index.html

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# 1 Quantum systems: some examples and applications

# 2 LKB Photon Box

- 3 Outline of the lectures and reference books
- 4 Quantum harmonic oscillator: spring model

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# Controlling quantum degrees of freedom

#### Some applications

- Nuclear Magnetic Resonance (NMR) applications;
- Quantum chemical synthesis;
- High resolution measurement devices (e.g. atomic/optic clocks);
- Quantum communication;
- Quantum computation .

#### Physics Nobel prize 2012



Serge Haroche



David J. Wineland

Nobel prize: ground-breaking experimental methods that enable measuring and manipulation of individual quantum systems.

# Nuclear Magnetic Resonance

- Control of an ensemble of spins with a dispersion (uncertainty) in parameters (frequency, coupling strengths);
- Time-optimal control to beat the relaxation of the spins;
- . . .



Improving the contrast in Magnetic Resonance Imaging (MRI).

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SI second is defined to be "the duration of 9 192 631 770 periods of the radiation corresponding to the transition between the two hyperfine levels of the ground state of the caesium 133 atom".



Figure: http://tf.nist.gov/ofm/smallclock/OverallDesign.htm

The goal is to modulate the laser frequencies to reach the maximum transmission (minimum absorbtion).

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# Quantum communication

Secure communication enforced by laws of quantum physics;



 Quantum repeaters for long-distance (>100km) communication: requires a quantum memory where quantum information is stabilized (protected) against various noise sources.

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### Technologies for quantum simulation and computation<sup>5</sup>



Requirement:

Scalable modular architecture

Control software from the very beginning.

<sup>&</sup>lt;sup>5</sup>Courtesy of Walter Riess, IBM Research - Zurich. D + () + ( D + (D +

# Quantum computation: towards quantum electronics

**D-Wave machine:** machines to solve certain huge-dimensional optimization problems (state space of dimension 2<sup>100</sup>).



Major challenge: Fragility of quantum information versus external noise.

#### Quantum error correction

We protect quantum information by stabilizing a manifold of quantum states.

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### The first experimental realization of a quantum state feedback

The photon box of the Laboratoire Kastler-Brossel (LKB): group of S.Haroche (Nobel Prize 2012), J.M.Raimond and M. Brune.



Stabilization of a quantum state with exactly n = 0, 1, 2, 3, ... photon(s). Experiment: C. Sayrin et. al., Nature 477, 73-77, September 2011. Theory: I. Dotsenko et al., Physical Review A, 80: 013805-013813, 2009. R. Somaraju et al., Rev. Math. Phys., 25, 1350001, 2013. H. Amini et. al., Automatica, 49 (9): 2683-2692, 2013.

<sup>6</sup>Courtesy of Igor Dotsenko. Sampling period Δt ≈ 80-μs. (Ξ) (Ξ) (Ξ) (Ξ)

C. Sayrin et. al., Nature 477, 73-77, Sept. 2011.

Decoherence due to finite photon life time around 70 ms)

Detection efficiency 40% Detection error rate 10% Delay 4 sampling periods

Model includes cavity decoherence, measurement imperfections, delays (Bayes law).

Truncation to 9 photons

# Stabilization around 3-photon state



### Models of open quantum systems are based on three features<sup>7</sup>

**1** Schrödinger: wave funct.  $|\psi\rangle \in \mathcal{H}$  or density op.  $\rho \sim |\psi\rangle\langle\psi|$ 

$$\frac{d}{dt}|\psi\rangle = -\frac{i}{\hbar}\boldsymbol{H}|\psi\rangle, \quad \frac{d}{dt}\rho = -\frac{i}{\hbar}[\boldsymbol{H},\rho], \quad \boldsymbol{H} = \boldsymbol{H}_0 + \boldsymbol{u}\boldsymbol{H}_1$$

2 Entanglement and tensor product for composite systems (S, M):

- Hilbert space  $\mathcal{H} = \mathcal{H}_{S} \otimes \mathcal{H}_{M}$
- Hamiltonian  $H = H_S \otimes I_M + H_{int} + I_S \otimes H_M$
- observable on sub-system *M* only:  $O = I_S \otimes O_M$ .

3 Randomness and irreversibility induced by the measurement of observable **O** with spectral decomp.  $\sum_{\mu} \lambda_{\mu} \mathbf{P}_{\mu}$ :

measurement outcome  $\mu$  with proba.  $\mathbb{P}_{\mu} = \langle \psi | \mathbf{P}_{\mu} | \psi \rangle = \text{Tr} (\rho \mathbf{P}_{\mu})$  depending on  $|\psi\rangle$ ,  $\rho$  just before the measurement

• measurement back-action if outcome  $\mu = y$ :

$$|\psi\rangle \mapsto |\psi\rangle_{+} = \frac{\mathbf{P}_{\mathbf{y}}|\psi\rangle}{\sqrt{\langle\psi|\mathbf{P}_{\mathbf{y}}|\psi\rangle}}, \quad \rho \mapsto \rho_{+} = \frac{\mathbf{P}_{\mathbf{y}}\rho\mathbf{P}_{\mathbf{y}}}{\mathrm{Tr}\left(\rho\mathbf{P}_{\mathbf{y}}\right)}$$

<sup>7</sup>S. Haroche, J.M. Raimond: Exploring the Quantum: Atoms, Cavities and Photons. Oxford University Press, 2006.

Composite system built with an harmonic oscillator and a qubit.

System S corresponds to a quantized harmonic oscillator:

$$\mathcal{H}_{\mathcal{S}} = \mathcal{H}_{\mathcal{C}} = \left\{ \sum_{n=0}^{\infty} c_n | n \rangle \mid (c_n)_{n=0}^{\infty} \in l^2(\mathbb{C}) \right\},$$

where  $|n\rangle$  represents the Fock state associated to exactly *n* photons inside the cavity

• Meter *M* is a qu-bit, a 2-level system (idem 1/2 spin system) :  $\mathcal{H}_M = \mathcal{H}_a = \mathbb{C}^2$ , each atom admits two energy levels and is described by a wave function  $c_g |g\rangle + c_e |e\rangle$  with  $|c_g|^2 + |c_e|^2 = 1$ ; atoms leaving *B* are all in state  $|g\rangle$ 

State of the full system  $|\Psi\rangle \in \mathcal{H}_{S} \otimes \mathcal{H}_{M} = \mathcal{H}_{c} \otimes \mathcal{H}_{a}$ :

$$|\Psi
angle = \sum_{n=0}^{+\infty} c_{ng} |n
angle \otimes |g
angle + c_{ne} |n
angle \otimes |e
angle, \quad c_{ne}, c_{ng} \in \mathbb{C}.$$

 $\text{Ortho-normal basis: } (|n\rangle \otimes |g\rangle, |n\rangle \otimes |e\rangle)_{n \in \mathbb{N}}.$ 

### The Markov model (1)



- When atom comes out *B*,  $|\Psi\rangle_B$  of the full system is separable  $|\Psi\rangle_B = |\psi\rangle \otimes |g\rangle$ .
- Just before the measurement in D, the state is in general entangled (not separable):

$$|\Psi
angle_{ extsf{B}_2} = oldsymbol{U}_{ extsf{SM}}ig(|\psi
angle\otimes|g
angleig) = ig(oldsymbol{M}_g|\psi
angleig)\otimes|g
angle + ig(oldsymbol{M}_e|\psi
angleig)\otimes|e
angle$$

where  $U_{SM}$  is a unitary transformation (Schrödinger propagator) defining the linear measurement operators  $M_g$  and  $M_e$  on  $\mathcal{H}_S$ . Since  $U_{SM}$  is unitary,  $M_g^{\dagger}M_g + M_e^{\dagger}M_e = I$ . Just before *D*, the field/atom state is **entangled**:

 $m{M}_{m{g}}|\psi
angle\otimes|m{g}
angle+m{M}_{m{e}}|\psi
angle\otimes|m{e}
angle$ 

Denote by  $\mu \in \{g, e\}$  the measurement outcome in detector *D*: with probability  $\mathbb{P}_{\mu} = \left\langle \psi | \mathbf{M}_{\mu}^{\dagger} \mathbf{M}_{\mu} | \psi \right\rangle$  we get  $\mu$ . Just after the measurement outcome  $\mu = \mathbf{y}$ , the state becomes separable:

$$|\Psi\rangle_{D} = \frac{1}{\sqrt{\mathbb{P}_{y}}} (\boldsymbol{M}_{y}|\psi\rangle) \otimes |y\rangle = \left(\frac{\boldsymbol{M}_{y}}{\sqrt{\langle\psi|\boldsymbol{M}_{y}^{\dagger}\boldsymbol{M}_{y}|\psi\rangle}}|\psi\rangle\right) \otimes |y\rangle.$$

Markov process:  $|\psi_k\rangle \equiv |\psi\rangle_{t=k\Delta t}$ ,  $k \in \mathbb{N}$ ,  $\Delta t$  sampling period,

$$|\psi_{k+1}\rangle = \begin{cases} \frac{\mathbf{M}_{g}|\psi_{k}\rangle}{\sqrt{\langle\psi_{k}|\mathbf{M}_{g}^{\dagger}\mathbf{M}_{g}|\psi_{k}\rangle}} & \text{with } y_{k} = g, \text{ probability } \mathbb{P}_{g} = \langle\psi_{k}|\mathbf{M}_{g}^{\dagger}\mathbf{M}_{g}|\psi_{k}\rangle;\\ \frac{\mathbf{M}_{e}|\psi_{k}\rangle}{\sqrt{\langle\psi_{k}|\mathbf{M}_{e}^{\dagger}\mathbf{M}_{e}|\psi_{k}\rangle}} & \text{with } y_{k} = e, \text{ probability } \mathbb{P}_{e} = \langle\psi_{k}|\mathbf{M}_{e}^{\dagger}\mathbf{M}_{e}|\psi_{k}\rangle. \end{cases}$$

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#### **Reference books**

- Cohen-Tannoudji, C.; Diu, B. & Laloë, F.: Mécanique Quantique Hermann, Paris, 1977, I& II (quantum physics: a well known and tutorial textbook)
- 2 S. Haroche, J.M. Raimond: Exploring the Quantum: Atoms, Cavities and Photons. Oxford University Press, 2006. (*quantum physics: spin/spring systems, decoherence, Schrödinger cats, entanglement.*)
- 3 C. Gardiner, P. Zoller: The Quantum World of Ultra-Cold Atoms and Light I& II. Imperial College Press, 2009. (*quantum physics, measurement and control*)
- 4 Barnett, S. M. & Radmore, P. M.: Methods in Theoretical Quantum Optics Oxford University Press, 2003. (mathematical physics: many useful operator formulae for spin/spring systems)
- 5 E. Davies: Quantum Theory of Open Systems. Academic Press, 1976. (mathematical physics: functional analysis aspects when the Hilbert space is of infinite dimension)
- 6 Gardiner, C. W.: Handbook of Stochastic Methods for Physics, Chemistry, and the Natural Sciences [3rd ed], Springer, 2004. (*tutorial introduction to probability, Markov processes, stochastic differential equations and Ito calculus.*)
- 7 M. Nielsen, I. Chuang: Quantum Computation and Quantum Information. Cambridge University Press, 2000. (*tutorial introduction with a computer science and communication view point*)

#### Outline of the lectures

- Jan21 (PR) Introduction: motivating applications; LKB photon-box as prototype of open quantum system; spring system (harmonic oscillator, spectral decomposition, annihilation/creation operators, coherent state and displacement).
- Jan28 (AS) spin system (qubit, Pauli matrices); composite spin/spring system (tensor product, resonant/dispersive interaction, underlying PDE's).
- Feb04 (PR) Averaging and rotating waves approximation (first/second order perturbation expansion, asymptotic stability)
- Feb11 (AS) Open-loop control via averaging techniques (Rabi oscillations for a qubit, Law-Eberly method for a spin/spring system)
- Feb18 (PR) Adiabatic control (qubit with Bloch vector coordinates, STIRAP) and optimal control (monotone numerical algorithm).
- Feb25 (AS) Measurement back-action, POVM and discrete-time model of open quantum system: LKB photon box, measurement imperfection, why density operator instead of wave-function, Kraus map (quantum channel).
- Mar03 (AS) Discrete-time open-quantum systems: LKB photon box, (QND) measurement, open-loop asymptotic behavior, measurement-based feedback, Lyapunov stabilization, quantum filtering.
- Mar10 (PR) Continuous-time open-quantum system: Ito calculus, homodyne (QND) measurement, open-loop asymptotic behaviour, Lyapunov stabilization, quantum filtering.
- Mar17 (PR) Decoherence as unread measurements performed by the environment: continuous-time formulation, Lindblad differential equation (damped harmonic oscillator, convergence and asymptotic stability, Wigner function and PDE formulations).
- Mar24 (AS) Stabilization by reservoir engineering as tailored decoherence. Application: quantum error correction by measurement-based feedback and by reservoir engineering. Outlook on related math.techniques (adiabatic elimination).

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#### Harmonic oscillator

Classical Hamiltonian formulation of  $\frac{d^2}{dt^2}x = -\omega^2 x$ 

$$\frac{d}{dt}x = \omega p = \frac{\partial \mathbb{H}}{\partial p}, \quad \frac{d}{dt}p = -\omega x = -\frac{\partial \mathbb{H}}{\partial x}, \quad \mathbb{H} = \frac{\omega}{2}(p^2 + x^2).$$

Electrical oscillator:



Frictionless spring:  $\frac{d^2}{dt^2}x = -\frac{k}{m}x$ .



LC oscillator:

$$\frac{d}{dt}I = \frac{V}{L}, \frac{d}{dt}V = -\frac{I}{C}, \quad (\frac{d^2}{dt^2}I = -\frac{1}{LC}I).$$

#### Quantum regime

 $k_B T \ll \hbar \omega$  where  $\hbar \simeq 1.054 \cdot 10^{-34}$  Js and  $k_B \simeq 1.38 \cdot 10^{-23}$  J/K. Typically for the photon box experiment in these lectures,  $\omega = 51$  GHz and T = 0.8K.

Harmonic oscillator<sup>8</sup>: quantization and correspondence principle

$$\frac{d}{dt}x = \omega \boldsymbol{p} = \frac{\partial \mathbb{H}}{\partial \boldsymbol{p}}, \quad \frac{d}{dt}\boldsymbol{p} = -\omega x = -\frac{\partial \mathbb{H}}{\partial x}, \quad \mathbb{H} = \frac{\omega}{2}(\boldsymbol{p}^2 + x^2).$$

Quantization: probability wave function  $|\psi\rangle_t \sim (\psi(x, t))_{x\in\mathbb{R}}$  with  $|\psi\rangle_t \sim \psi(., t) \in L^2(\mathbb{R}, \mathbb{C})$  obeys to the Schrödinger equation (from now on we always assume units such that  $\hbar = 1$ )

$$irac{d}{dt}|\psi
angle = oldsymbol{H}|\psi
angle, \quad oldsymbol{H} = \omega(oldsymbol{P}^2 + oldsymbol{X}^2) = -rac{\omega}{2}rac{\partial^2}{\partial x^2} + rac{\omega}{2}x^2$$

where **H** results from  $\mathbb{H}$  by replacing *x* by position operator  $\sqrt{2}\mathbf{X}$  and *p* by momentum operator  $\sqrt{2}\mathbf{P} = -i\frac{\partial}{\partial x}$ . **H** is a Hermitian operator on  $L^2(\mathbb{R}, \mathbb{C})$ , with its domain to be given.

PDE model: 
$$i\frac{\partial\psi}{\partial t}(x,t) = -\frac{\omega}{2}\frac{\partial^2\psi}{\partial x^2}(x,t) + \frac{\omega}{2}x^2\psi(x,t), \quad x \in \mathbb{R}.$$

<sup>8</sup>Two references: C. Cohen-Tannoudji, B. Diu, and F. Laloë. *Mécanique Quantique*, volume I& II. Hermann, Paris, 1977.
M. Barnett and P. M. Radmore. *Methods in Theoretical Quantum Optics*.
Oxford University Press, 2003.

Average position  $\langle \mathbf{X} \rangle_t = \langle \psi | \mathbf{X} | \psi \rangle$  and momentum  $\langle \mathbf{P} \rangle_t = \langle \psi | \mathbf{P} | \psi \rangle$ :

$$\langle \boldsymbol{X} \rangle_t = \frac{1}{\sqrt{2}} \int_{-\infty}^{+\infty} x |\psi|^2 dx, \quad \langle \boldsymbol{P} \rangle_t = -\frac{i}{\sqrt{2}} \int_{-\infty}^{+\infty} \psi^* \frac{\partial \psi}{\partial x} dx.$$

Annihilation a and creation operators  $a^{\dagger}$  (domains to be given):

$$\boldsymbol{a} = \boldsymbol{X} + i\boldsymbol{P} = \frac{1}{\sqrt{2}}\left(\boldsymbol{x} + \frac{\partial}{\partial \boldsymbol{x}}\right), \quad \boldsymbol{a}^{\dagger} = \boldsymbol{X} - i\boldsymbol{P} = \frac{1}{\sqrt{2}}\left(\boldsymbol{x} - \frac{\partial}{\partial \boldsymbol{x}}\right)$$

Commutation relationships:

$$[\boldsymbol{X}, \boldsymbol{P}] = \frac{i}{2}\boldsymbol{I}, \quad [\boldsymbol{a}, \boldsymbol{a}^{\dagger}] = \boldsymbol{I}, \quad \boldsymbol{H} = \omega(\boldsymbol{P}^2 + \boldsymbol{X}^2) = \omega\left(\boldsymbol{a}^{\dagger}\boldsymbol{a} + \frac{\boldsymbol{I}}{2}\right).$$

Set  $\boldsymbol{X}_{\lambda} = \frac{1}{2} \left( \boldsymbol{e}^{-i\lambda} \boldsymbol{a} + \boldsymbol{e}^{i\lambda} \boldsymbol{a}^{\dagger} \right)$  for any angle  $\lambda$ :

$$\left[\boldsymbol{X}_{\lambda}, \boldsymbol{X}_{\lambda+\frac{\pi}{2}}\right] = \frac{i}{2}\boldsymbol{I}.$$

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Spectrum of Hamiltonian  $H = -\frac{\omega}{2} \frac{\partial^2}{\partial x^2} + \frac{\omega}{2} x^2$ :

$$E_n = \omega(n + \frac{1}{2}), \ \psi_n(x) = \left(\frac{1}{\pi}\right)^{1/4} \frac{1}{\sqrt{2^n n!}} e^{-x^2/2} H_n(x), \ H_n(x) = (-1)^n e^{x^2} \frac{d^n}{dx^n} e^{-x^2}$$

#### Spectral decomposition of $a^{\dagger}a$ using $[a, a^{\dagger}] = 1$ :

- If  $|\psi\rangle$  is an eigenstate associated to eigenvalue  $\lambda$ , then  $\boldsymbol{a}|\psi\rangle$  and  $\boldsymbol{a}^{\dagger}|\psi\rangle$  are also eigenstates associated to  $\lambda 1$  and  $\lambda + 1$ .
- **a**<sup>†</sup>**a** is semi-definite positive.
- The ground state  $|\psi_0\rangle$  is necessarily associated to eigenvalue 0 and is given by the Gaussian function  $\psi_0(x) = \frac{1}{\pi^{1/4}} \exp(-x^2/2)$ .

 $[a, a^{\dagger}] = 1$ : spectrum of  $a^{\dagger}a$  is non-degenerate and is  $\mathbb{N}$ .

Fock state with *n* photons (phonons): the eigenstate of  $\mathbf{a}^{\dagger}\mathbf{a}$  associated to the eigenvalue  $n(|n\rangle \sim \psi_n(x))$ :

$$\boldsymbol{a}^{\dagger}\boldsymbol{a}|n
angle=n|n
angle, \quad \boldsymbol{a}|n
angle=\sqrt{n}\;|n-1
angle, \quad \boldsymbol{a}^{\dagger}|n
angle=\sqrt{n+1}\;|n+1
angle.$$

The ground state  $|0\rangle$  is called 0-photon state or vacuum state.

The operator **a** (resp.  $\mathbf{a}^{\dagger}$ ) is the annihilation (resp. creation) operator since it transfers  $|n\rangle$  to  $|n-1\rangle$  (resp.  $|n+1\rangle$ ) and thus decreases (resp. increases) the quantum number *n* by one unit.

Hilbert space of quantum system:  $\mathcal{H} = \{\sum_{n} c_{n} | n \rangle \mid (c_{n}) \in l^{2}(\mathbb{C})\} \sim L^{2}(\mathbb{R}, \mathbb{C}).$ Domain of **a** and  $\mathbf{a}^{\dagger}$ :  $\{\sum_{n} c_{n} | n \rangle \mid (c_{n}) \in h^{1}(\mathbb{C})\}.$ Domain of **H** or  $\mathbf{a}^{\dagger}\mathbf{a}$ :  $\{\sum_{n} c_{n} | n \rangle \mid (c_{n}) \in h^{2}(\mathbb{C})\}.$ 

$$h^{k}(\mathbb{C}) = \{(c_{n}) \in l^{2}(\mathbb{C}) \mid \sum n^{k} |c_{n}|^{2} < \infty\}, \qquad k = 1, 2.$$

#### Harmonic oscillator: displacement operator

Quantization of 
$$\frac{d^2}{dt^2}x = -\omega^2 x - \omega\sqrt{2}u$$
,  $(\mathbb{H} = \frac{\omega}{2}(p^2 + x^2) + \sqrt{2}ux)$ 

$$H = \omega \left( \boldsymbol{a}^{\dagger} \boldsymbol{a} + \frac{\mathbf{I}}{2} \right) + u(\boldsymbol{a} + \boldsymbol{a}^{\dagger}).$$

The associated controlled PDE

$$i\frac{\partial\psi}{\partial t}(x,t)=-\frac{\omega}{2}\frac{\partial^2\psi}{\partial x^2}(x,t)+\left(\frac{\omega}{2}x^2+\sqrt{2}ux\right)\psi(x,t).$$

Glauber displacement operator  $D_{\alpha}$  (unitary) with  $\alpha \in \mathbb{C}$ :

$$\boldsymbol{D}_{lpha}=\boldsymbol{e}^{lpha \boldsymbol{a}^{\dagger}-lpha^{*}\boldsymbol{a}}=\boldsymbol{e}^{2i\Im lpha \boldsymbol{X}-2i\Re lpha \boldsymbol{P}}$$

From Baker-Campbell Hausdorf formula, for all operators A and B,

$$e^{A}Be^{-A} = B + [A, B] + \frac{1}{2!}[A, [A, B]] + \frac{1}{3!}[A, [A, [A, B]]] + \dots$$

we get the Glauber formula<sup>9</sup> when  $[\mathbf{A}, [\mathbf{A}, \mathbf{B}]] = [\mathbf{B}, [\mathbf{A}, \mathbf{B}]] = 0$ :

$$e^{\boldsymbol{A}+\boldsymbol{B}}=e^{\boldsymbol{A}}\ e^{\boldsymbol{B}}\ e^{-\frac{1}{2}[\boldsymbol{A},\boldsymbol{B}]}.$$

<sup>9</sup>Take *s* derivative of  $e^{s(A+B)}$  and of  $e^{sA} e^{sB} e^{-\frac{s^2}{2}[A,B]}$ .

#### Harmonic oscillator: identities resulting from Glauber formula

With  $\mathbf{A} = \alpha \mathbf{a}^{\dagger}$  and  $\mathbf{B} = -\alpha^* \mathbf{a}$ , Glauber formula gives:

$$D_{\alpha} = e^{-\frac{|\alpha|^2}{2}} e^{\alpha \mathbf{a}^{\dagger}} e^{-\alpha^* \mathbf{a}} = e^{+\frac{|\alpha|^2}{2}} e^{-\alpha^* \mathbf{a}} e^{\alpha \mathbf{a}^{\dagger}}$$
$$D_{-\alpha} \mathbf{a} D_{\alpha} = \mathbf{a} + \alpha \mathbf{I} \quad \text{and} \quad D_{-\alpha} \mathbf{a}^{\dagger} D_{\alpha} = \mathbf{a}^{\dagger} + \alpha^* \mathbf{I}.$$

With  $\mathbf{A} = 2i\Im\alpha\mathbf{X} \sim i\sqrt{2}\Im\alpha x$  and  $\mathbf{B} = -2i\Re\alpha\mathbf{P} \sim -\sqrt{2}\Re\alpha\frac{\partial}{\partial x}$ , Glauber formula gives<sup>10</sup>:

$$\begin{aligned} \boldsymbol{D}_{\alpha} &= \boldsymbol{e}^{-i\Re\alpha\Im\alpha} \; \boldsymbol{e}^{i\sqrt{2}\Im\alpha x} \boldsymbol{e}^{-\sqrt{2}\Re\alpha} \frac{\partial}{\partial x} \\ (\boldsymbol{D}_{\alpha}|\psi\rangle)_{x,t} &= \boldsymbol{e}^{-i\Re\alpha\Im\alpha} \; \boldsymbol{e}^{i\sqrt{2}\Im\alpha x} \psi(x-\sqrt{2}\Re\alpha,t) \end{aligned}$$

**Exercise:** Prove that, for any  $\alpha, \beta, \epsilon \in \mathbb{C}$ , we have<sup>11</sup>

$$\begin{split} \boldsymbol{D}_{\alpha+\beta} &= \boldsymbol{e}^{\frac{\alpha^*\beta-\alpha\beta^*}{2}} \boldsymbol{D}_{\alpha} \boldsymbol{D}_{\beta} \\ \boldsymbol{D}_{\alpha+\epsilon} \boldsymbol{D}_{-\alpha} &= \left(1 + \frac{\alpha\epsilon^*-\alpha^*\epsilon}{2}\right) \boldsymbol{I} + \epsilon \boldsymbol{a}^{\dagger} - \epsilon^* \boldsymbol{a} + \boldsymbol{O}(|\epsilon|^2) \\ &\left(\frac{d}{dt} \boldsymbol{D}_{\alpha}\right) \boldsymbol{D}_{-\alpha} = \left(\frac{\alpha \frac{d}{dt} \alpha^* - \alpha^* \frac{d}{dt} \alpha}{2}\right) \boldsymbol{I} + \left(\frac{d}{dt} \alpha\right) \boldsymbol{a}^{\dagger} - \left(\frac{d}{dt} \alpha^*\right) \boldsymbol{a}. \end{split}$$

<sup>10</sup>Note that the operator  $e^{-r\partial/\partial x}$  corresponds to a translation of x by r. <sup>11</sup>Use the formula  $\frac{d}{dt} E(t) = \left(\int_0^1 e^{s\mathbf{A}(t)} \left(\frac{d}{dt}\mathbf{A}(t)\right) e^{-s\mathbf{A}(t)} ds\right) E(t)$  where  $E(t) = e^{\mathbf{A}(t)}$  for any operator  $\mathbf{A}(t)$  depending smoothly on t.

#### Harmonic oscillator: lack of controllability

Take  $|\psi\rangle$  solution of the controlled Schrödinger equation  $i\frac{d}{dt}|\psi\rangle = \left(\omega\left(\mathbf{a}^{\dagger}\mathbf{a} + \frac{1}{2}\right) + u(\mathbf{a} + \mathbf{a}^{\dagger})\right)|\psi\rangle$ . Set  $\langle \mathbf{a}\rangle = \langle \psi | \mathbf{a} | \psi \rangle$ . Then

$$\frac{d}{dt}\left\langle \boldsymbol{a}\right\rangle =-i\omega\left\langle \boldsymbol{a}\right\rangle -iu.$$

From  $\boldsymbol{a} = \boldsymbol{X} + i\boldsymbol{P}$ , we have  $\langle \boldsymbol{a} \rangle = \langle \boldsymbol{X} \rangle + i \langle \boldsymbol{P} \rangle$  where  $\langle \boldsymbol{X} \rangle = \langle \psi | \boldsymbol{X} | \psi \rangle \in \mathbb{R}$  and  $\langle \boldsymbol{P} \rangle = \langle \psi | \boldsymbol{P} | \psi \rangle \in \mathbb{R}$ . Consequently

$$\frac{d}{dt} \left< \boldsymbol{X} \right> = \omega \left< \boldsymbol{P} \right>, \quad \frac{d}{dt} \left< \boldsymbol{P} \right> = -\omega \left< \boldsymbol{X} \right> - u.$$

Consider the change of frame  $|\psi\rangle={\it e}^{-i\theta_t}{\it D}_{\langle {\it a}\rangle_t}~|\chi\rangle$  with

$$heta_t = \int_0^t \left( \omega |\langle \pmb{a} \rangle|^2 + u \Re(\langle \pmb{a} \rangle) 
ight), \quad D_{\langle \pmb{a} \rangle_t} = e^{\langle \pmb{a} \rangle_t \pmb{a}^\dagger - \langle \pmb{a} \rangle_t^* \pmb{a}},$$

Then  $|\chi\rangle$  obeys to autonomous Schrödinger equation<sup>12</sup>

$$i \frac{d}{dt} |\chi\rangle = \omega \left( \boldsymbol{a}^{\dagger} \boldsymbol{a} + \frac{\boldsymbol{l}}{2} \right) |\chi\rangle.$$

The dynamics of  $|\psi\rangle$  can be decomposed into two parts:

- **a** controllable part of dimension two for  $\langle \boldsymbol{a} \rangle$
- **an uncontrollable part of infinite dimension for**  $|\chi\rangle$ .

<sup>12</sup> The time-varying change of frame  $|\psi\rangle = \boldsymbol{U}|\chi\rangle$  where  $\frac{d}{dt}\boldsymbol{U} = -i\boldsymbol{A}\boldsymbol{U}$  with  $\boldsymbol{A}^{\dagger} \equiv \boldsymbol{A}$ , transforms  $\frac{d}{dt}|\psi\rangle = -i\boldsymbol{H}|\psi\rangle$  into  $|\chi\rangle = -i(\boldsymbol{U}^{\dagger}(\boldsymbol{H} - \boldsymbol{A})\boldsymbol{U})|\chi\rangle$ .

### **Coherent states**

$$|\alpha\rangle = \boldsymbol{D}_{\alpha}|\mathbf{0}\rangle = \boldsymbol{e}^{-\frac{|\alpha|^2}{2}}\sum_{n=0}^{+\infty}\frac{\alpha^n}{\sqrt{n!}}|n\rangle, \quad \alpha \in \mathbb{C}$$

are the states reachable from vacuum set. They are also the eigenstate of **a**:  $\mathbf{a}|\alpha\rangle = \alpha |\alpha\rangle$ .

A widely known result in quantum optics<sup>13</sup>: classical currents and sources (generalizing the role played by u) only generate classical light (quasi-classical states of the quantized field generalizing the coherent state introduced here) We just propose here a control theoretic interpretation in terms of reachable set from vacuum.

<sup>&</sup>lt;sup>13</sup>See complement *B*<sub>III</sub>, page 217 of C. Cohen-Tannoudji, J. Dupont-Roc, and G. Grynberg. *Photons and Atoms: Introduction to Quantum Electrodynamics*. Wiley, 1989.

- Hilbert space:  $\mathcal{H} = \left\{ \sum_{n \geq 0} \psi_n | n \rangle, \ (\psi_n)_{n \geq 0} \in l^2(\mathbb{C}) \right\} \equiv L^2(\mathbb{R}, \mathbb{C})$
- Quantum state space:  $\mathbb{D} = \{ \rho \in \mathcal{L}(\mathcal{H}), \rho^{\dagger} = \rho, \operatorname{Tr}(\rho) = 1, \rho \ge 0 \}$ .
- Operators and commutations:  $a|n\rangle = \sqrt{n} |n-1\rangle, a^{\dagger}|n\rangle = \sqrt{n+1}|n+1\rangle;$   $N = a^{\dagger}a, N|n\rangle = n|n\rangle;$   $[a, a^{\dagger}] = I, af(N) = f(N+I)a;$   $D_{\alpha} = e^{\alpha a^{\dagger} - \alpha^{\dagger}a}.$  $a = X + iP = \frac{1}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right), [X, P] = iI/2.$

■ Hamiltonian:  $H/\hbar = \omega_c a^{\dagger} a + u_c (a + a^{\dagger})$ . (associated classical dynamics:  $\frac{dx}{dt} = \omega_c p, \ \frac{dp}{dt} = -\omega_c x - \sqrt{2}u_c$ ).

Classical pure state  $\equiv$  coherent state  $|\alpha\rangle$ 

$$\begin{aligned} \alpha \in \mathbb{C} : \ |\alpha\rangle &= \sum_{n \ge 0} \left( e^{-|\alpha|^2/2} \frac{\alpha^n}{\sqrt{n!}} \right) |n\rangle; \ |\alpha\rangle \equiv \frac{1}{\pi^{1/4}} e^{i\sqrt{2}x\Im\alpha} e^{-\frac{(x-\sqrt{2}\Re\alpha)^2}{2}} \\ a|\alpha\rangle &= \alpha|\alpha\rangle, \ \boldsymbol{D}_{\alpha}|0\rangle = |\alpha\rangle. \end{aligned}$$



 $|\mathbf{n}\rangle$ 

#### Control of quantum harmonic oscillator: LKB photon-box



Simple schematic of LKB experiment for control of cavity field

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