

# Robust control of variable time-delay systems

Theoretical contributions and applications to engine control

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Advisor : N. Petit



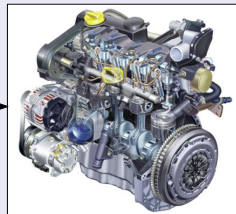
Supervisor : J. Chauvin



# Context

## Variable delays **ubiquitous** in internal combustion engines

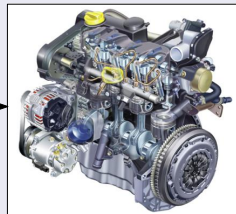
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- spatially distributed after treatment devices
- physical flow transportation (transport delay)
- few embedded sensors
- measurement dead times



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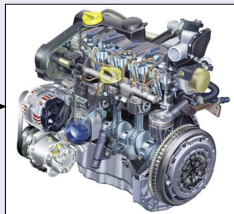


Delays are **prejudicial** to closed-loop stability and transient performances

## Context

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Delays are **prejudicial** to closed-loop stability and transient performances

### Thesis objective

Design real-time control strategies to compensate uncertain and time-varying delays using a unified methodology.

- 1 Introduction : state prediction
- 2 Adaptive control scheme for uncertain systems with constant input delay
  - Delay-adaptive methodology principle
  - Control strategy with delay update law
  - Case study for a SI engine : FAR regulation
- 3 Robust compensation of a class of time- and input-dependent input delays
  - Integral transport delay class and application to EGR estimation
  - Sufficient conditions for transport delay robust compensation
- 4 Conclusion

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# Input delay compensation

## Dynamics under consideration

$$\dot{X}(t) = AX(t) + BU(t - D)$$

with  $X \in \mathbb{R}^n$ ,  $U$  scalar and  $D > 0$  **constant**.

$(A, B)$  controllable and  $K \in \mathbb{R}^{1 \times n}$  s.t.  $A + BK$  Hurwitz.

## Prediction-based control law (Smith, 1959)

$$U(t) = KX(t + D) = K \left[ e^{AD}X(t) + \int_{t-D}^t e^{A(t-s)}BU(s)ds \right]$$

achieves **exact compensation** of the input delay

- finite spectrum assignment (FSA) (Manitius and Olbrot, 1978)
- reduction method (Artstein, 1979)

## Closed-loop delay compensation

$\dot{X} = (A + BK)X(t)$       delay-free exponential convergence after  $D$  units of time

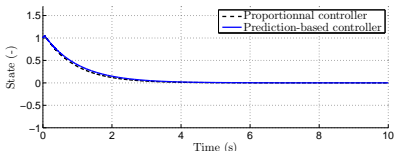
# Input delay compensation

## Illustrative scalar unstable example

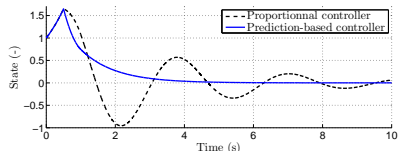
$$\dot{x} = x + U(t - D)$$

with initial conditions  $x(0) = 1$  and  $u(s) = 1, s \in [-D, 0]$

Feedback gain chosen as  $K = -2$



(a)  $D = 0.05$  s



(b)  $D = 0.5$  s

## Prediction-based control laws

- Improvement of transient performances
- Delay-independent behavior as  $\dot{X} = (A + BK)X(t)$



## Time-varying input delay compensation

$$\dot{X}(t) = AX + BU(r(t)) \quad \text{with} \quad r(t) = t - D(t)$$

### Time-varying state prediction horizon

Exact delay compensation is achieved with

$$U(t) = KX(r^{-1}(t))$$

provided that

- 1  $D$  time-differentiable and uniformly bounded
  - 2  $r$  is invertible (i.e.  $|\dot{D}| < 1$ )
- for a constant delay,  $D > 0$ ,  $r^{-1}(t) = t + D$
  - $r^{-1}(t) \neq t + D(t)$

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- for a constant delay,  $D > 0$ ,  $r^{-1}(t) = t + D$
- $r^{-1}(t) \neq t + D(t)$  : for the control law  $U(t) = KX(t + D(t))$ ,

$$\dot{X}(t) = AX(t) + BU(t - D(t)) = AX(t) + BKX(\underbrace{t - D(t) + D(t - D(t))}_{\neq 0 \text{ a priori}})$$

- requires to predict future values of the delay

$\neq 0$  a priori

## Input delay compensation : open questions

## Constant input delay

$$U(t) = K \left[ e^{AD} X(t) + \int_{t-D}^t e^{A(t-s)} B U(s) ds \right]$$

## Time-varying input delay

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- robustness to **delay** mismatch ?

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$$U(t) = K \left[ e^{AD} X(t) + \int_{t-D}^t e^{A(t-s)} B U(s) ds \right]$$

- robustness to delay mismatch ?
- robustness to **plant uncertainties** ?
- disturbance rejection ?
- **output feedback** ?

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How to design **delay-adaptive prediction-based** control tackling these issues ?

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- the case of unknown delay variations remains to be addressed
- extension to input-dependent delay

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## Question

How to ensure **causal robust compensation** for time- and input-varying delays ?



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## Transport PDE and backstepping transformation [Krstic, 2008]

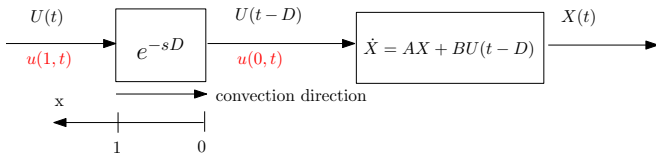
$$\dot{X}(t) = AX(t) + BU(t - D)$$

- Hyperbolic PDE delay representation

consider the distributed actuator

$$u(x, t) = U(t + D(x - 1)), \quad x \in [0; 1]$$

$$\begin{cases} \dot{X}(t) & = AX(t) + BU(0, t) \\ Du_t(x, t) & = u_x(x, t) \\ u(1, t) & = U(t) \end{cases}$$



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## ● Backstepping transformation :

change of variables  $(X, u) \rightarrow (X, w)$  to obtain the target system

$$\begin{cases} \dot{X}(t) &= (A + BK)X(t) + Kw(0, t) \\ Dw_t(x, t) &= w_x(x, t) \\ w(1, t) &= 0 \end{cases}$$

$$\begin{aligned} \underline{\text{sol. :}} \quad w(x, t) &= u(x, t) - Ke^{ADx}X(t) - DK \int_0^x e^{AD(x-y)} Bu(y, t) dy \\ &= u(x, t) - KX(t + xD) \quad \Rightarrow u(1, t) = U(t) = KX(t + D) \end{aligned}$$



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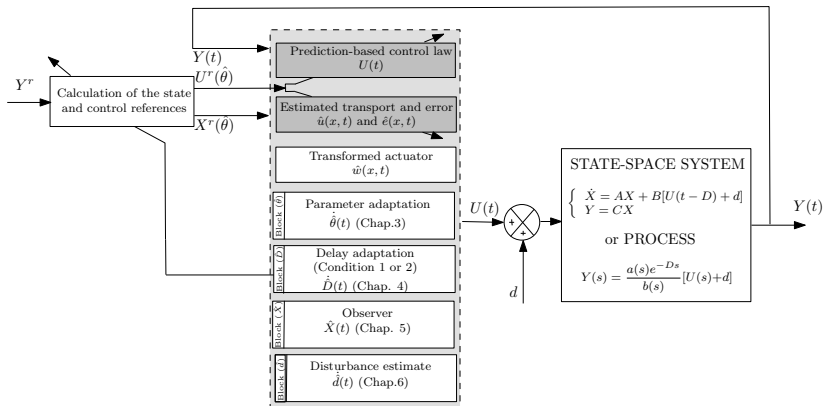
(1)  $\Rightarrow$  linear parameterization

(2)  $\Rightarrow$  Lyapunov-Krasovskii analysis

# General prediction-based delay-adaptive scheme

We investigate robust compensation for different versions of the general linear system

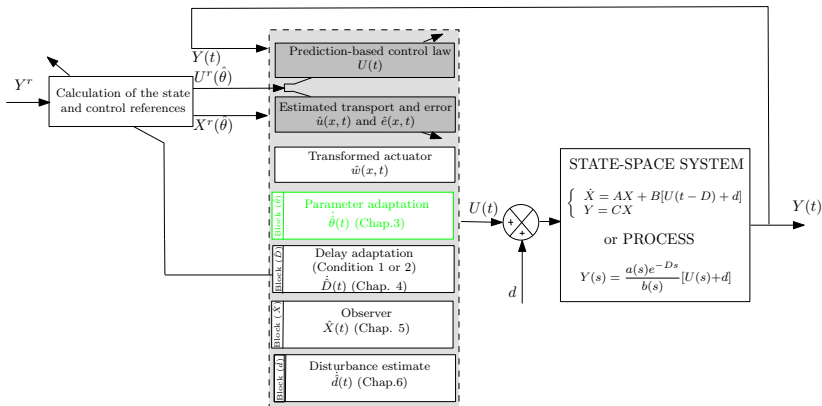
$$\begin{cases} \dot{X}(t) = A(\theta)X(t) + B(\theta)[U(t - D) + d] \\ Y(t) = CX(t) \end{cases}$$



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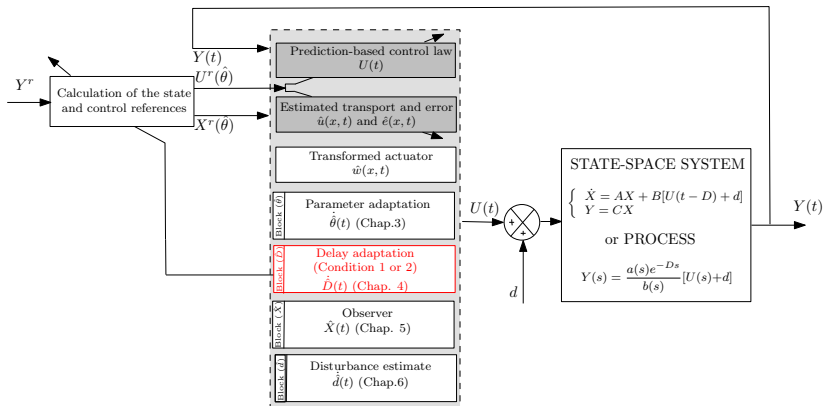
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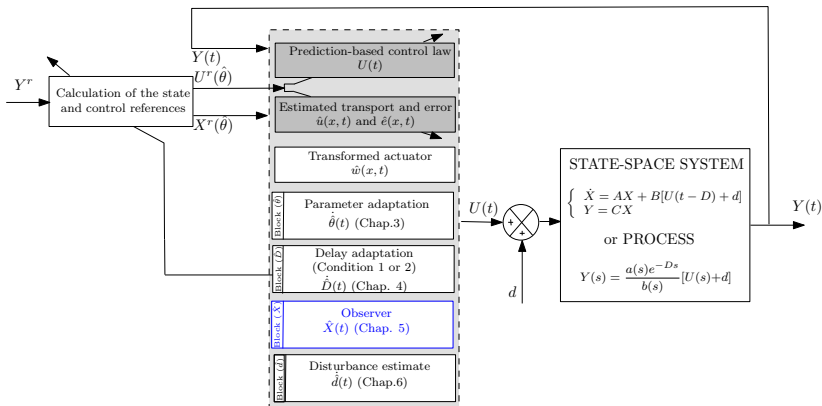
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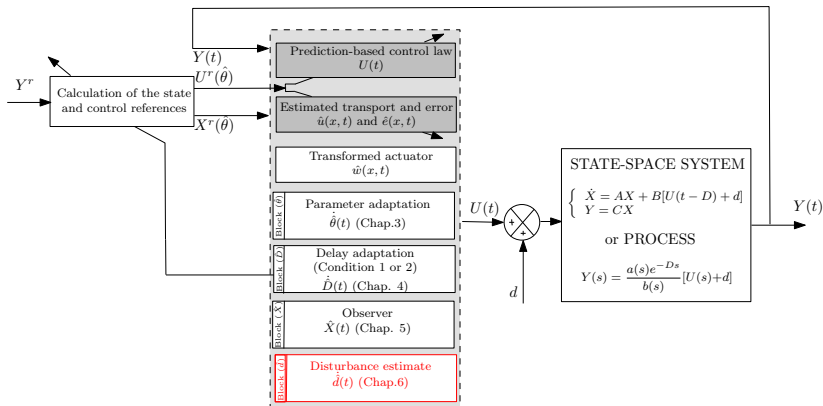




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## General methodology for Lyapunov analysis

$D$  **uncertain** → delay estimate  $\hat{D}$

Implementable control law :

$$U(t) = KX_P(t + \hat{D}) \neq KX(t + D)$$

with  $X_P$  predicted using estimates

### Question

What is the influence of the prediction error on closed-loop stability in case of

❶ a time-varying delay update law ?

$$X_P(t + \hat{D}) = X(t + \hat{D}(t))$$

❸ an output feedback strategy ?

$$X_P(t + \hat{D}) = X_{\hat{X}}(t + \hat{D})$$

❷ plant parameter uncertainties ?

$$X_P(t + \hat{D}) = X_{\hat{\theta}}(t + \hat{D})$$

❹ disturbance rejection ?

$$X_P(t + \hat{D}) = X_{\hat{d}}(t + \hat{D})$$

## General methodology for Lyapunov analysis

Robustness of  $U(t) = KX_P(t + \hat{D}) \neq KX(t + D)$  ?

### Estimation of distributed variables

$$\hat{u}(x, t) = U(t + \hat{D}(t)(x - 1)) \quad \rightarrow \text{control synthesis}$$

$$\hat{w}(x, t) = \hat{u}(x, t) - KX_P(t + x\hat{D}(t)) \quad \rightarrow \text{Lyapunov analysis}$$

with  $X_P$  predicted state using erroneous estimates

### Error variable PDEs

$$\begin{cases} \hat{D}(t)\hat{u}_t(x, t) = \hat{u}_x(x, t) + \dot{\hat{D}}(t)(x - 1)\hat{u}_x(x, t) \\ \hat{u}(1, t) = U(t) \end{cases}$$

$$\begin{cases} \hat{D}(t)\hat{w}_t(x, t) = \hat{w}_x(x, t) + \dot{\hat{D}}(t)(x - 1)\hat{w}_x(x, t) + \psi(x, t) \\ \hat{w}(1, t) = 0 \end{cases}$$

$\psi$  source term  
aggregating quantities  
arising from the  
estimation errors

### Lyapunov-Krasovskii analysis for system state stability analysis

study of delay update law and robustness to other mismatches.

## Local robust compensation result

## Result

Consider the closed-loop system obtained using the control law

$$U(t) = KX(t + \hat{D}(t)) = K \left[ e^{A\hat{D}(t)} X(t) + \int_{t-\hat{D}(t)}^t e^{A(t-s)} BU(s) ds \right]$$

with a delay estimate  $\hat{D}$  satisfying one of the following Growth Conditions. Define

$$\Gamma(t) = |X(t)|^2 + \|u(t)\|^2 + \|\hat{u}(t)\|^2 + \|\hat{u}_x(t)\|^2 + (D - \hat{D}(t))^2$$

There exist  $\gamma^* > 0$ ,  $R > 0$  et  $\rho > 0$  such that, provided  $\Gamma(0) < \rho$  and  $\gamma_D < \gamma^*$ , then

$$\forall t \geq 0 \quad \Gamma(t) \leq R\Gamma(0)$$

$$X(t) \xrightarrow[t \rightarrow \infty]{} 0 \quad \text{and} \quad U(t) \xrightarrow[t \rightarrow \infty]{} 0$$

- stability result, which can be generalized to tracking

(Lyapunov analysis giving conservative expressions of the bounds  $\gamma^*$  and  $\rho$ )

## Growth conditions for the delay update law

### Growth Condition 1 [Estimation improvement]

There exist  $M > 0$  and  $\tau_D \in C^0([0, +\infty[)$  s.t.

$$\begin{aligned} \dot{\hat{D}}(t) &= \gamma_D \text{Proj}_{[\underline{D}, \bar{D}]} \{ \tau_D(t) \} \\ \forall t \geq 0, \quad \tau_D(t)(D - \hat{D}(t)) &\geq 0 \quad \text{and} \quad |\tau_D(t)| < M \end{aligned}$$

or

### Growth Condition 2 [Rate of change compliant with the overall dynamics]

There exist  $M > 0$  and  $\tau_D \in C^0([0, +\infty[)$  s.t.

$$\begin{aligned} |\dot{\hat{D}}(t)| &= \gamma_D \text{Proj}_{[\underline{D}, \bar{D}]} \{ \tau_D(t) \} \\ |\tau_D(t)| &\leq M(|X(t)|^2 + \|u(t)\|^2 + \|\hat{u}_x(t)\|^2) \end{aligned}$$

## Proof : backstepping transformation and error equations

### Backstepping transformation

$$\hat{w}(x, t) = \hat{u}(x, t) - K \left[ e^{A\hat{D}(t)x} X(t) + \hat{D}(t) \int_{t-x\hat{D}(t)}^x e^{A(t-s)} U(s) ds \right]$$

with  $\hat{u}$  satisfying  $\hat{D}(t)\hat{u}_t(x, t) = \hat{u}_x(x, t) + \dot{\hat{D}}(t)(x-1)\hat{u}_x(x, t)$

### Cascade ODE-PDE

$$\dot{X}(t) = (A + BK)X(t) + B\hat{w}(0, t) + B[u(0, t) - \hat{u}(0, t)]$$

$$\hat{D}(t)\hat{w}_t = \hat{w}_x + \dot{\hat{D}}(t)g(x, t) - \hat{D}(t)Ke^{A\hat{D}(t)x}B[u(0, t) - \hat{u}(0, t)]$$

$$\hat{w}(1, t) = 0$$

Asymptotically stable dynamics with additive terms :

- one **coupling** term
- two **estimation error** terms  $u(0, t) - \hat{u}(0, t)$  ( $\dot{\hat{D}}$  at first order)
- one **source term in  $\dot{\hat{D}}$**  with  $g$  function of  $X(t)$ ,  $\hat{w}(\xi, t)$ ,  $\hat{w}_x(\xi, t)$  ( $\xi \in [0, x]$ )

## Proof : Lyapunov-Krasovskii analysis

- Consider the candidate functional

$$\begin{aligned}
 V(t) = & X(t)^T P X(t) + b_1 D \int_0^1 (1+x)(u(x,t) - \hat{u}(x,t))^2 dx \\
 & + b_2 \hat{D}(t) \int_0^1 (1+x) \hat{w}(x,t)^2 dx + b_2 \hat{D}(t) \int_0^1 (1+x) \hat{w}_x(x,t)^2 dx + \tilde{D}(t)^2
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 \end{aligned}$$

- Taking a time-derivative of J and using the error equation gives

$$\begin{aligned}
 dJ/dt = & 2 \int_0^1 (1+x) \underbrace{\hat{D}(t)\hat{w}_t(x,t)}_{=\hat{w}_x+\dots} \hat{w}(x,t) dx + \dot{\hat{D}}(t) \int_0^1 (1+x)\hat{w}(x,t)^2 dx
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 \stackrel{IBP}{=} & [(1+x) \hat{w}(x,t)^2]_0^1 - \|\hat{w}(t)\|^2 - 2\dot{\hat{D}}(t) \int_0^1 (1+x) g(x,t) \hat{w}(x,t) dx \\
 & - 2\hat{D}(t) \int_0^1 (1+x) K e^{A\hat{D}(t)x} B [u(0,t) - \hat{u}(0,t)] \hat{w}(x,t) dx \\
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 \stackrel{IBP}{=} & -\hat{w}(0,t)^2 - \|\hat{w}(t)\|^2 - 2\dot{\hat{D}}(t) \int_0^1 (1+x) g(x,t) \hat{w}(x,t) dx \\
 & - 2\hat{D}(t) \int_0^1 (1+x) K e^{A\hat{D}(t)x} B [u(0,t) - \hat{u}(0,t)] \hat{w}(x,t) dx \\
 & + \dot{\hat{D}}(t) \int_0^1 (1+x) \hat{w}(x,t)^2 dx
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 \end{aligned}$$

- With Young's inequality and the expression of  $g$ , one obtains

$$dJ/dt \leq -\hat{w}(0,t)^2 - \frac{1}{2} \|\hat{w}(t)\|^2 + M_1 |\dot{\hat{D}}(t)| V_0(t) + M_2 |u(0,t) - \hat{u}(0,t)|^2$$

$$\text{with } V_0(t) = |X(t)|^2 + \|\hat{w}(t)\|^2 + \|\hat{w}_x(t)\|^2$$

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$$\begin{aligned}
 V(t) = & X(t)^T P X(t) + b_1 D \int_0^1 (1+x)(u(x,t) - \hat{u}(x,t))^2 dx \\
 & + b_2 \hat{D}(t) \int_0^1 (1+x) \hat{w}(x,t)^2 dx + b_2 \hat{D}(t) \int_0^1 (1+x) \hat{w}_x(x,t)^2 dx + \tilde{D}(t)^2
 \end{aligned}$$

- With Young's inequality and the expression of  $g$ , one obtains

$$dJ/dt \leq -\hat{w}(0,t)^2 - \frac{1}{2} \|\hat{w}(t)\|^2 + M_1 |\dot{\hat{D}}(t)| V_0(t) + M_2 |u(0,t) - \hat{u}(0,t)|^2$$

$$\text{with } V_0(t) = |X(t)|^2 + \|\hat{w}(t)\|^2 + \|\hat{w}_x(t)\|^2$$

- Acting similarly with other modified  $\mathcal{L}_2$ -norms and judiciously choosing the intermediate constants  $b_1$  and  $b_2$  yields either

$$\dot{V}(t) \leq -(\eta_1 - \gamma_D M_2 V(t)) V_0(t) \quad \text{for Growth Condition 1}$$

$$\text{or } \dot{V}(t) \leq -(\eta_2 - \gamma_D M_3 V(t)) V_0(t) - (\eta_3 - \gamma_D M_4) V_0(t)^2 \quad \text{for Growth Condition 2}$$

## Proof : Lyapunov-Krasovskii analysis

- Consider the candidate functional

$$V(t) = X(t)^T P X(t) + b_1 D \int_0^1 (1+x)(u(x,t) - \hat{u}(x,t))^2 dx \\ + b_2 \hat{D}(t) \int_0^1 (1+x)\hat{w}(x,t)^2 dx + b_2 \hat{D}(t) \int_0^1 (1+x)\hat{w}_x(x,t)^2 dx + \tilde{D}(t)^2$$

- With Young's inequality and the expression of  $g$ , one obtains

$$dJ/dt \leq -\hat{w}(0,t)^2 - \frac{1}{2} \|\hat{w}(t)\|^2 + M_1 |\dot{\hat{D}}(t)| V_0(t) + M_2 |u(0,t) - \hat{u}(0,t)|^2$$

$$\text{with } V_0(t) = |X(t)|^2 + \|\hat{w}(t)\|^2 + \|\hat{w}_x(t)\|^2$$

- Acting similarly with other modified  $\mathcal{L}_2$ -norms and judiciously choosing the intermediate constants  $b_1$  and  $b_2$  yields either

$$\dot{V}(t) \leq -(\eta_1 - \gamma_D M_2 V(t)) V_0(t) \quad \text{for Growth Condition 1}$$

$$\text{or } \dot{V}(t) \leq -(\eta_2 - \gamma_D M_3 V(t)) V_0(t) - (\eta_3 - \gamma_D M_4) V_0(t)^2 \quad \text{for Growth Condition 2}$$

- Stability follows by choosing  $V(0)$  and  $\gamma_D$  sufficiently small. Convergence is obtained by applying Barbalat's Lemma to  $|X(t)|^2$  and  $U(t)^2$

# General prediction-based adaptive control strategy

$D$  **uncertain**  $\rightarrow$  delay estimate  $\hat{D}$  and  $U(t) = KX_P(t + \hat{D}(t)) \neq KX(t + D)$

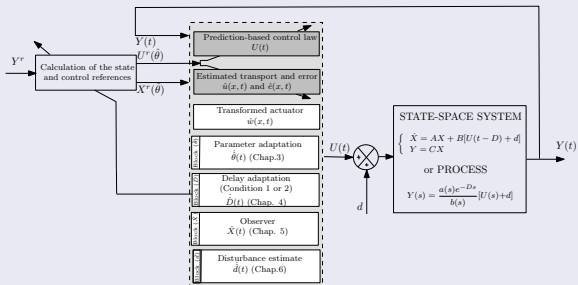
## Question

What is the influence of the prediction error on closed-loop stability in case of

- ❶ a time-varying delay update law ?
- ❸ an output feedback strategy ?
- ❷ plant parameter uncertainties ?
- ❹ disturbance rejection ?

## Answer

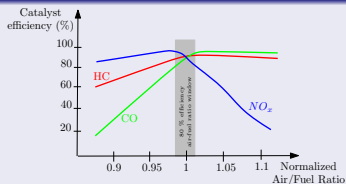
Robust compensation can be achieved for various combinations of the blocks below



# Application to Fuel-to-Air Ratio (FAR) for SI engines

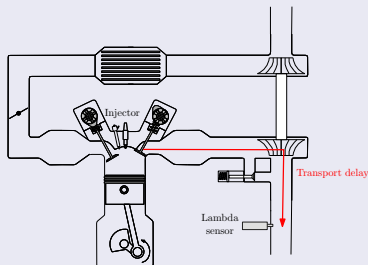
## Stoichiometric blend for SI engines

- Catalyst conversion efficiency optimal at stoichiometry



## Classical FAR control architecture

- air path dedicated to the driver torque request
- fuel path adjusted (manipulated variable = injected fuel mass)
- feedback loop using an oxygen sensor (Lambda sensor)

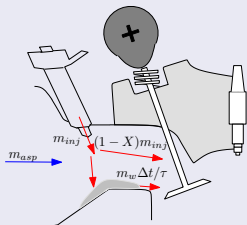


## Application to Fuel-to-Air Ratio (FAR) for SI engines

Define the normalized Fuel-to-Air Ratio as follows

$$\phi = \frac{1}{FAR_S} \frac{m_f}{m_{asp}} \quad \text{and} \quad \phi_m \quad \text{the exhaust FAR measurement}$$

### Modeling of the composition from the intake chamber to Lambda sensor



- Dilution and transport delay
- Sensor dynamics
- Wall-wetting dynamics (indirect injection)
- input =  $m_{inj}$ , output =  $\phi_m$



# Application to Fuel-to-Air Ratio (FAR) for SI engines

## Modeling (cont.)

Defining the control variable as  $U(t) = \frac{1}{FAR_S} \frac{m_{inj}^{sp}}{m_{asp}^{est}(t)}$ , one obtains

$$\begin{cases} \tau_\phi \tau \ddot{\phi}_m(t) + (\tau_\phi + \tau) \dot{\phi}_m + \phi_m = \theta(t) [\tau(1-X)\dot{U}(t-D) + U(t-D)] \\ y(t) = \phi_m \\ \theta = \theta(N_e, F_{air}) \\ D = D(N_e, F_{air}) \end{cases} \quad \text{with } F_{air} \text{ unreliable}$$

- an **unknown gain**  $\theta \in [0.75, 1.25]$ , that varies with the operating point (and extremely slowly over time)
- an **uncertain input time delay**, estimated by  $\hat{D} = \hat{D}(N_e)$  in  $[\underline{D}, \bar{D}] = [100, 600]$  ms
- only **one available measurement**,  $\phi_m$

⇒ combination of **block** ( $\hat{X}$ ) and **block** ( $\hat{\theta}$ )

# Application to Fuel-to-Air Ratio (FAR) for SI engines

## Modeling (cont.)

Defining the control variable as  $U(t) = \frac{1}{FAR_S} \frac{m_{inj}^{sp}}{m_{asp}^{est}(t)}$ , one obtains

$$\begin{cases} \dot{X}(t) = AX(t) + B(\theta)U(t - D) \\ Y(t) = CX(t) \end{cases}$$

$$A = \begin{pmatrix} 0 & 1 \\ -\frac{1}{\tau_\phi\tau} & -\frac{1}{\tau_\phi} - \frac{1}{\tau} \end{pmatrix}, \quad B(\theta) = \begin{pmatrix} 0 \\ \frac{\theta}{\tau\tau_\phi} \end{pmatrix} \quad \text{and} \quad C = (1 \quad \tau(1 - X))$$

The reference trajectories are  $(X^r, U^r(\hat{\theta})) = ([\phi^r \quad 0]^T, \phi^r/\hat{\theta})$ , with

- an **unknown gain**  $\theta \in [0.75, 1.25]$ , that varies with the operating point (and extremely slowly over time)
- an **uncertain input time delay**, estimated by  $\hat{D} = \hat{D}(N_e)$  in  $[\underline{D}, \bar{D}] = [100, 600]$  ms
- only **one available measurement**,  $\phi_m$

⇒ combination of **block**  $(\hat{X})$  and **block**  $(\hat{\theta})$

# Application to Fuel-to-Air Ratio (FAR) for SI engines

## Controller

- Control law

$$U(t) = U^r(\hat{\theta}) - KX^r + K \left[ e^{A\hat{D}} \hat{X}(t) + \int_{t-\hat{D}}^t e^{A(t-s)} B(\hat{\theta}) U(s) ds \right]$$

- Observer

$$\dot{\hat{X}}(t) = A\hat{X}(t) + B(\hat{\theta})\hat{u}(0, t) - L(Y(t) - C\hat{X}(t))$$

- Update law (Lyapunov design)

$$\dot{\hat{\theta}}(t) = \gamma \left[ \frac{(\hat{X}(t) - X^r)^T P(\hat{\theta})}{b} - \hat{D}K(\hat{\theta}) \int_0^1 (1+x)[\hat{w}(x, t) + A\hat{D}\hat{w}_x(x, t)] e^{A\hat{D}x} dx \right] \begin{pmatrix} 0 \\ \frac{\phi^r}{\hat{\theta}\tau\tau_\phi} \end{pmatrix}$$

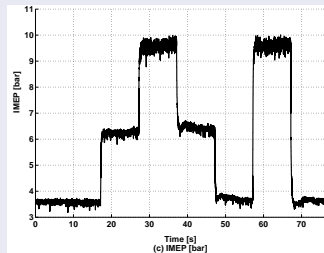
- Backstepping transformation of  $\hat{e}(x, t) = \hat{u}(x, t) - U^r(\hat{\theta})$

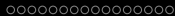
$$\forall x \in [0, 1], \quad \hat{w}(x, t) = \hat{e}(x, t) - \hat{D} \int_0^x K e^{A\hat{D}(x-y)} B(\hat{\theta}) \hat{e}(y, t) dy - K e^{A\hat{D}x} [\hat{X}(t) - X^r]$$

# FAR, experimental results

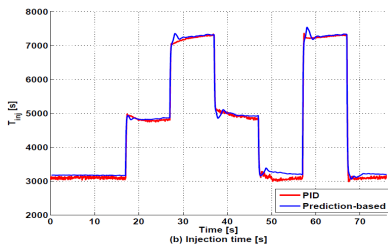
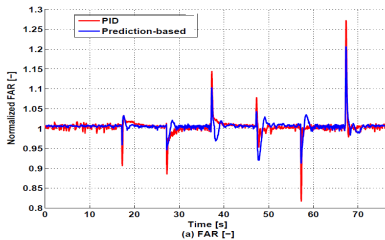
## Test-bench experiments

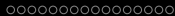
- Experimental setup
  - 1.4L four-cylinder SI engine
  - indirect injection
- Comparison between
  - the proposed prediction-based strategy
  - a PID controller
- Constant feedback gains  $K$ ,  $L$  and update gain  $\gamma_D$
- Torque variations at constant engine speed



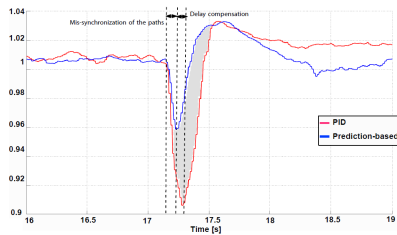
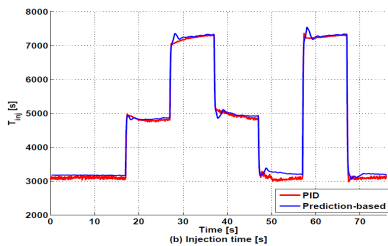
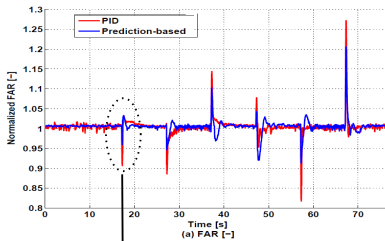


# FAR, experimental results





# FAR, experimental results



- 1 Introduction : state prediction
- 2 Adaptive control scheme for uncertain systems with constant input delay
  - Delay-adaptive methodology principle
  - Control strategy with delay update law
  - Case study for a SI engine : FAR regulation
- 3 Robust compensation of a class of time- and input-dependent input delays
  - Integral transport delay class and application to EGR estimation
  - Sufficient conditions for transport delay robust compensation
- 4 Conclusion

## Transport delay integral model

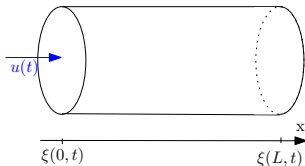
$$\int_{t-D(t)}^t \varphi(s, u(s)) ds = 1$$

with  $\varphi$  a **strictly positive** function of time and of the input

**Transport between  $x = 0$  and  $L$  with a speed  $u(t)$  of a variable  $\xi$**

The solution of the equation  $\xi_t = u(t)\xi_x$  satisfies  $\xi(L, t) = \xi(0, t - D(t))$  with  $D(t)$  defined by

$$\int_{t-D(t)}^t \underbrace{\frac{u(s)}{L}}_{=\varphi(u(s))} ds = 1$$





## Transport delay integral model

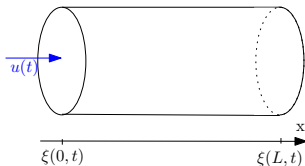
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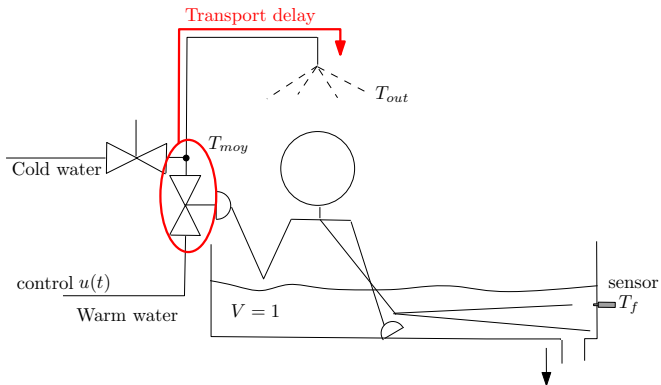


- $D > 0$ , as  $\varphi$  positive
- $\dot{D} \leq 1$  (causal), as  $\varphi$  positive and

$$\dot{D}(t) = 1 - \frac{\varphi(t, u(t))}{\varphi(t-D(t), u(t-D(t)))} \leq 1$$

- $D(t)$  can be calculated numerically :  $D \mapsto \int_{t-D}^t \varphi(\cdot) ds$  is a class  $\mathcal{K}$  function

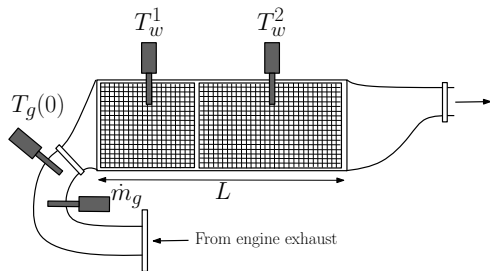
# Example 1 : the bath/shower



$T_{out} = T_{moy}(t - D(t))$  with

$$\int_{t-D(t)}^t \underbrace{\frac{(1+u(s))}{V_P}}_{=\varphi(u(s))} ds = 1 \quad \Rightarrow \text{input-dependent delay}$$

## Example 2 : catalyst internal temperature



- control = inlet gas temperature (and the mass flow rate for hybrid vehicle)
- state = (distributed) temperature
- residence time

From a low-frequencies analysis of the PDE thermal model, one can obtain

$$T_w(L, t) = \frac{1}{1 + \tau_S} T_g(0, t - D(t))$$

with  $(k_1, k_2 > 0$  given constants)

$$\int_{t-D(t)}^t \underbrace{\frac{k_1 \dot{m}_g(s)}{k_2 L}}_{=\varphi(s, u(s))} ds = 1 \quad \Rightarrow \text{time- and input-dependent delay}$$

## Example 3 : exhaust gas recirculation (EGR) for SI engine

- state = intake burned gas rate
- control = reintroduced burned gas mass flow rate

Input delay defined as

$$\int_{t-D(t)}^t \underbrace{\frac{v_{gas}(s)}{L}}_{=\varphi(s,u(s))} ds = 1 \quad \Rightarrow \text{time- and input-dependent delay}$$

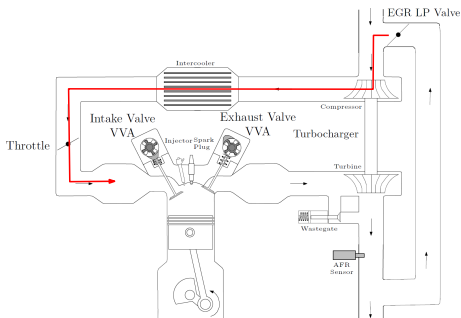


FIG.: IFPEn test-bench, 1.8L RSA F5Rt

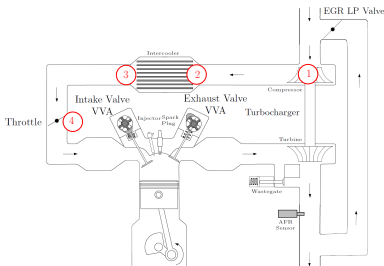
## Example 4 : EGR for SI engine, practical implementation

$v_{gas}$  infinite dimensional and not measured

Practical delay calculation by perfect gas law fed by measurements

$$\int_{t-D(t)}^t v_{gas}(s) ds = L_P \Rightarrow \int_{t-D(t)}^t \frac{rT(s)}{P(s)} [F_{air}(s) + F_{egr}(s)] ds = V_P$$

- 1 → 2 : homogeneous temperature and pressure (measured)
- 2 → 3 : homogeneous pressure and linear temperature profile
- 3 → 4 : intake manifold temperature (measured)



three delays calculated sequentially by direct iterations

## Example 4 : EGR for SI engine, practical implementation

### Intake burned gas rate model

Define  $x_{lp}$  the low-pressure burned gas rate

$$\dot{x}_{lp} = \alpha \left[ -(F_{egr}(t) + F_{air}(t))x_{lp}(t) + F_{egr}(t) \right]$$

$$x(t) = x_{lp}(t - D(t))$$

with  $D(t)$  the **transport delay** defined earlier and  $\alpha$  a known constant (ambient conditions)

### Open-loop burned gas rate estimate $\hat{x}$

aspirated mass flow rate model	}	$\Rightarrow \hat{x}_{lp}$
measurement of the low-pressure fresh air mass flow rate		
delay calculated by the previous methodology		$\Rightarrow \hat{x}$

## Example 4 : EGR for SI engine, practical implementation

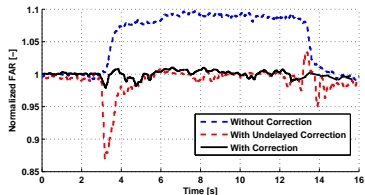
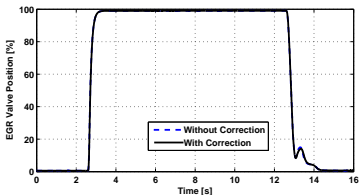
### Experimental set-up

- 1.8L four cylinder turbocharged SI engine
- no real-time intake burned gas rate measurement → use of Lambda sensor
- *indirect* validation methodology through estimation-based feedforward strategy on the FAR

$$m_{inj} = FAR_S m_{air}^{est} = FAR_S (1 - \hat{x}) m_{asp}$$

**FAR staying close to 1 ←→ accurate estimate**

### Experimental results for a given operating point ( $N_e = 2000$ rpm and $IMEP = 8$ bar)



## A general problem statement

### Problem

To design a **prediction-based control law** for the (potentially unstable) plant

$$\begin{cases} x^{(n)} + a_{n-1}x^{(n-1)} + \dots + a_0x = b_0u(t - D(t)) \\ \int_{t-D(t)}^t u(s)ds = 1 \quad \text{with} \quad u \geq \underline{u} > 0 \end{cases}$$



## A general problem statement

### Problem

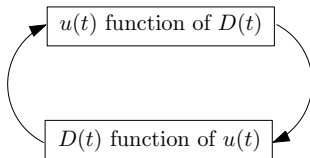
To design a **prediction-based control law** for the (potentially unstable) plant

$$\begin{cases} x^{(n)} + a_{n-1}x^{(n-1)} + \dots + a_0x = b_0u(t - D(t)) \\ \int_{t-D(t)}^t u(s)ds = 1 \quad \text{with} \quad u \geq \underline{u} > 0 \end{cases}$$

The control law

$$u(t) = KX(r^{-1}(t)) \quad \text{with} \quad r(t) = t - D(t)$$

achieves **exact delay compensation** but results into an **implicit loop**.



# A general problem statement

## Problem

To design a **prediction-based control law** for the (potentially unstable) plant

$$\begin{cases} x^{(n)} + a_{n-1}x^{(n-1)} + \dots + a_0x = b_0u(t - D(t)) \\ \int_{t-D(t)}^t u(s)ds = 1 \quad \text{with} \quad u \geq \underline{u} > 0 \end{cases}$$

Control :  $u(t) = KX(t + D(t)) \neq KX(r^{-1}(t))$

State-space representation : **assume that  $X$  is fully known**

$$A = \begin{pmatrix} 0 & 1 & & 0 \\ \vdots & & \ddots & \\ 0 & 0 & & 1 \\ -a_0 & -a_1 & \dots & -a_{n-1} \end{pmatrix}, \quad B = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ b_0 \end{pmatrix}$$

## Question

Under which conditions is the control law  $u(t) = KX(t + D(t))$  stabilizing ?  
(without exact delay compensation)

# Methodology

## Question

Under which conditions is the control law  $u(t) = KX(t + D(t))$  stabilizing ?

1st step : find a condition on the delay variations  $D(t)$

Lyapunov-Krasovskii analysis (backstepping)

2nd step : relate delay variations to the input and study the input dynamics

DDE stability results (Halanay)

# 1st step : find a condition on the delay variations $D(t)$

## Robust compensation of a time-varying delay

Consider the closed-loop system

$$\begin{aligned}\dot{X}(t) &= AX(t) + BU(t - D(t)) \\ U(t) &= U^r - KX^r + K \left[ e^{AD(t)} X(t) + \int_{t-D(t)}^t e^{A(t-s)} BU(s) ds \right]\end{aligned}\quad (1)$$

with  $U$  scalar,  $K$  s.t.  $A + BK$  Hurwitz and  $(X^r, U^r)$  an equilibrium point.

$D : \mathbb{R}_+ \mapsto [0, \bar{D}]$  is assumed to be time-differentiable.

There exists  $\delta^* \in ]0, 1[$  such that, provided  $\forall t \geq 0, |\dot{D}(t)| \leq \delta^*$ , then (1) exponentially converges to  $X^r$ .

# 1st step : find a condition on the delay variations $D(t)$

## Robust compensation of a time-varying delay

Consider the closed-loop system

$$\begin{aligned}\dot{X}(t) &= AX(t) + BU(t - D(t)) \\ U(t) &= U^r - KX^r + K \left[ e^{AD(t)} X(t) + \int_{t-D(t)}^t e^{A(t-s)} BU(s) ds \right]\end{aligned}\quad (1)$$

with  $U$  scalar,  $K$  s.t.  $A + BK$  Hurwitz and  $(X^r, U^r)$  an equilibrium point.  
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There exists  $\delta^* \in ]0, 1[$  such that, provided  $\forall t \geq 0, |\dot{D}(t)| \leq \delta^*$ , then (1) exponentially converges to  $X^r$ .

$$\begin{aligned}\dot{x}(t) &= Ax(t) + Bu(t - D(t)) \\ &= Ax(t) + BKx(t - \underbrace{D(t) + D(t - D(t))}_{\approx 0 \text{ if } |\dot{D}| \text{ small}})\end{aligned}$$

# Methodology

## Question

Under which conditions is the control law  $u(t) = KX(t + D(t))$  stabilizing ?

1st step : find a condition on the delay variations  $D(t)$

Lyapunov-Krasovskii analysis (backstepping)

**Solved** : get  $|\dot{D}(t)| < \delta^*, t \geq 0$

2nd step : relate delay variations to the input and study the input dynamics

DDE stability results (Halanay)

## 2nd step : relate delay variations to the input and study the input dynamics

$$\int_{t-D(t)}^t u(s) ds = 1, \quad u \geq \underline{u} > 0$$

implies

$$\dot{D}(t) = 1 - \frac{u(t-D(t))}{u(t)} = \frac{\varepsilon(t) - \varepsilon(t-D(t))}{u(t)}$$

Sufficient condition for  $|\dot{D}(t)| < \delta^*$

$$\max |\varepsilon_t| \leq \frac{\underline{u}\delta^*}{2}$$

with  $\varepsilon(t) = u(t) - u^r$  the error variable and  $\varepsilon_t : s \in [-\bar{D}, 0] \mapsto \varepsilon(t+s)$

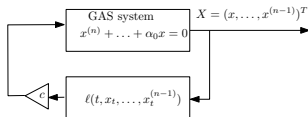
**Problem reformulation**

To guarantee the condition

$$\max |\varepsilon_t| \leq \delta = \frac{\underline{u}\delta^*}{2}$$

## 2nd step : relate delay variations to the input and study the input dynamics

We use the following stability result.



## Halany (local)

Let  $x$  be a solution of the  $n^{\text{th}}$  order DDE

$$\begin{cases} x^{(n)} + \alpha_{n-1}x^{(n-1)} + \dots + \alpha_0x = c\ell(t, x_t, \dots, x_t^{(n-1)}), t \geq t_0 \\ x_{t_0} = \psi \in C^0([-D, 0], \Omega) \end{cases}$$

where the left-hand side of the differential equation defines a polynomial which roots have only strictly negative real parts,  $c > 0$ ,  $\ell$  is a continuous functional and  $\Omega$  is a neighborhood of the origin in which  $\ell$  satisfies the sup-norm relation

$$\forall t \geq t_0, \quad |\ell(t, x_t, \dots, x_t^{(n-1)})| \leq \max |X_t| \quad (2)$$

with  $X = [x \ \dot{x} \ \dots \ x^{(n-1)}]^T$ . Then, there exists  $c_{\max} > 0$  such that, for any  $0 \leq c \leq c_{\max}$ , there exists  $\gamma \geq 0$  and  $r > 0$  such that

$$\forall t \geq 0, \quad |X(t)| \leq r \max |X_{t_0}| e^{-\gamma(t-t_0)}$$



## 2nd step : relate delay variations to the input and study the input dynamics

 $\varepsilon$  dynamics

The error variable  $\varepsilon = u - u^r$  with  $u$  defined through a prediction on the horizon  $D(t)$  satisfies the differential equation

$$\varepsilon^{(n)} + (a_{n-1} + b_0 k_{n-1})\varepsilon^{(n-1)} + \dots + (a_0 + b_0 k_0)\varepsilon = \pi_0(\varepsilon_t, \dots, \varepsilon_t^{(n-1)}) + \pi_1(\varepsilon_t, \dots, \varepsilon_t^{(n-1)})$$

where  $[-k_0 \dots -k_{n-1}] \stackrel{\Delta}{=} K$  and  $\pi_0$  and  $\pi_1$  are polynomial functions s.t.

- there exists a class  $\mathcal{K}_\infty$  function  $\beta$  such that

$$|\pi_0(\varepsilon_t, \dots, \varepsilon_t^{(n-1)})| \leq \beta(|K|) \max |E_t| \text{ with } E(t) = [\varepsilon(t) \quad \dot{\varepsilon}(t) \quad \dots \quad \varepsilon^{(n-1)}(t)]^T$$

- $\pi_1$  is *at least quadratic* in  $\varepsilon_t, \dots, \varepsilon_t^{(n-1)}$

We apply the previous result  $X = E$ ,  $\ell = \pi_0 + \pi_1$ . To guarantee the property (2),

- we decrease the gain magnitude  $|K| < k^*$  such that  $|\pi_0| < (1 - \varepsilon)c_{max} \max |E_t|$
- we decrease the open set  $\Omega$  such that  $|\pi_1| < \varepsilon c_{max} \max |E_t|$

then  $\ell < c_{max} \max |E_t|$  and one can apply Halanay, to guarantee the exponential convergence of  $\varepsilon$

# Transport delay robust compensation

## Local small gain condition

Consider the closed-loop system

$$\begin{cases} x^{(n)} + a_{n-1}x^{(n-1)} + \dots + a_0x = b_0u(t - D(t)) & \text{with } \int_{t-D(t)}^t u(s)ds = 1 \\ u(t) = u^r + K \left[ e^{AD(t)}X(t) + \int_{t-D(t)}^t e^{A(t-s)}B\phi(s)ds - X^r \right] \end{cases}$$

Consider the functional  $\Theta(t) = |X(t) - X^r| + \max_{s \in [t-D, t]} |U(s) - U^r|$  and  $Q$  a symmetric positive definite matrix. Assume that, for a given  $\varepsilon \in (0, 1)$ , there exists  $k^* > 0$  s. t.

$$\beta(|K_0|) < (1 - \varepsilon) \frac{\underline{\lambda}(P)\underline{\lambda}(Q)}{2\bar{\lambda}(P)^2} \quad \text{with} \quad P(A + BK_0) + (A + BK_0)^T P = -Q$$

for any  $K_0 \in \mathbb{R}^{1 \times n}$  such that  $|K_0| < k^*$ , with  $\beta$  defined in terms of by  $A$  and  $B$ . Then, there exists  $\theta : \mathbb{R}_+ \mapsto \mathbb{R}_+$  such that for any  $K \in \mathbb{R}^{1 \times n}$  such that  $|K| < k^*$  and  $\Theta(0) < \theta(|K|)$  the considered condition is fulfilled and the plant exponentially converges to  $X^r$ .

# Methodology

## Question

Under which conditions is the control law  $u(t) = KX(t + D(t))$  stabilizing ?

1st step : find a condition on the delay variations  $D(t)$

Lyapunov-Krasovskii analysis (backstepping)

**Solved** : get  $|\dot{D}(t)| < \delta^*$ ,  $t \geq 0$

2nd step : relate delay variations to the input and study the input dynamics

DDE stability results (Halanay)

**Solved** : independent  $n^{\text{th}}$  order scalar delay equation

## Answer

Small gain condition

- 1 Introduction : state prediction
- 2 Adaptive control scheme for uncertain systems with constant input delay
  - Delay-adaptive methodology principle
  - Control strategy with delay update law
  - Case study for a SI engine : FAR regulation
- 3 Robust compensation of a class of time- and input-dependent input delays
  - Integral transport delay class and application to EGR estimation
  - Sufficient conditions for transport delay robust compensation
- 4 Conclusion

# Conclusion

## Scope of the thesis

Focus on the design of robust compensation for uncertain and time-varying delays

### Constant input delay

How to design **delay-adaptive prediction-based** control ?

### Proposed general adaptive scheme

- based on a delay transport PDE representation and a backstepping transformation
- versatile control strategy
- illustrated experimentally on FAR regulation

### Time-varying input-delay

How to ensure **causal robust compensation** for time- and input-varying delays ?

### Proposed robust compensation method

- prediction over a time horizon equal to the delay
- two-steps methodology for input-dependent delay, based on Halanay DDE stability results
- focus on integral transport delay model (experimentally tested for EGR)
- small gain condition (detuning, robustness filter)